



The rise and fall of Λ CDM

Carlos S. Frenk
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Durham

The standard model of cosmology

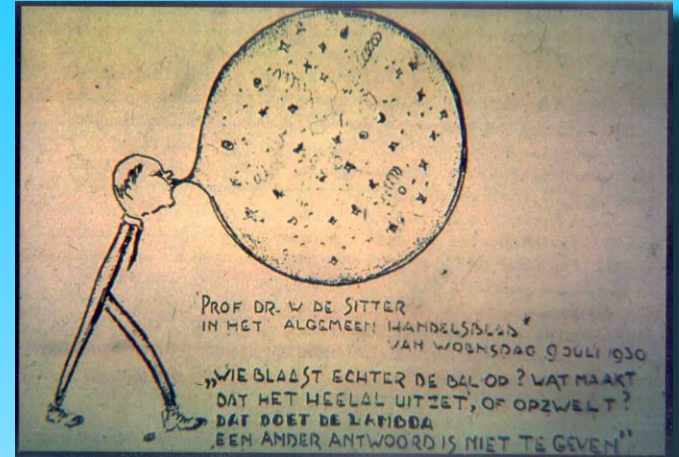
Based on 2 key ideas from the 1980s:

1. Inflation

Universe born in the wrong vacuum

→ Expands exponentially fast

→ (a) flat geometry ($\Omega_k = 0$); (b) Gaussian, adiabatic perturbations (quantum fluctuations)



2. Non-baryonic cold dark matter particles


→ Current incarnation is Λ CDM (standard model):

↑
Cosmological constant

→ Cold dark matter

The Λ CDM model of cosmogony

Cosmological constant Cold dark matter



- Proposed in 1980s, it is an *ab initio*, **fully specified** model of **cosmic evolution** and the formation of cosmic structure
- Has strong **predictive** power and can, in principle, be **ruled out**
- Has made a number of **predictions** that were subsequently **tested** empirically (e.g. CMB, LSS, galaxy formation)

Three Nobel Prizes in Physics since 2006

The big Bang



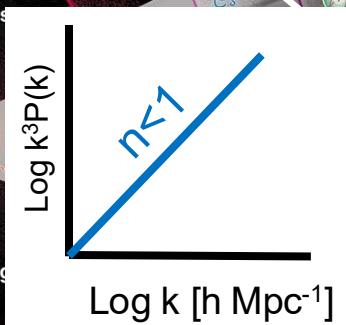
The cosmic microwave background is emitted
($t \sim 350,000$ yrs)

Production of particle dark matter
($t \sim 10^{-10}$ s)

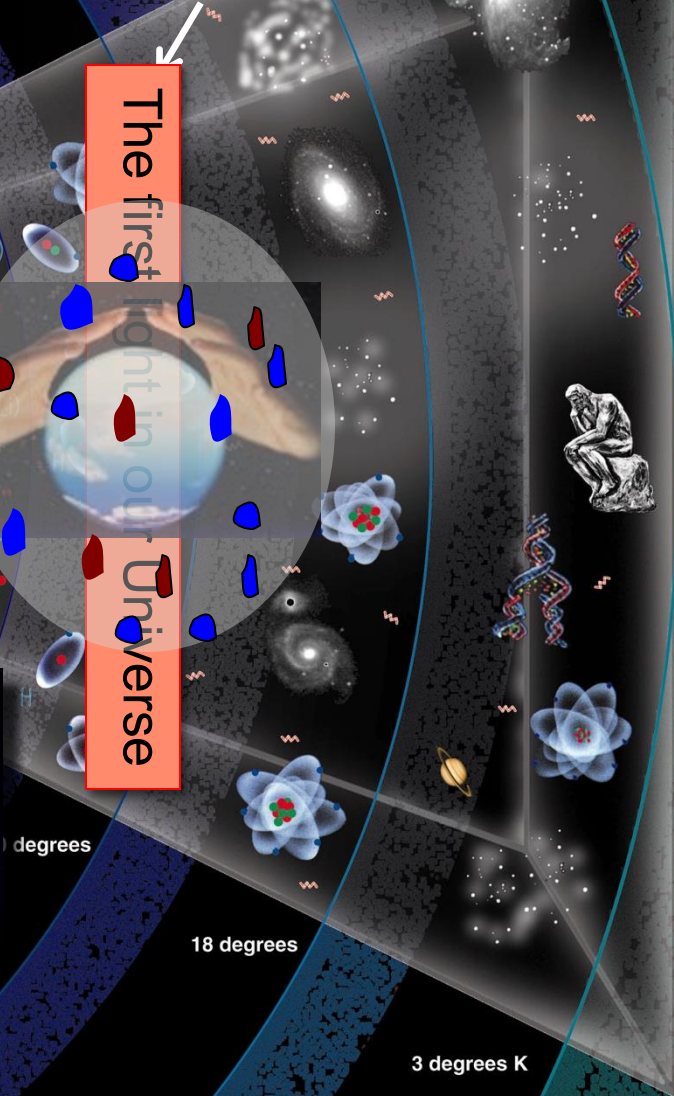
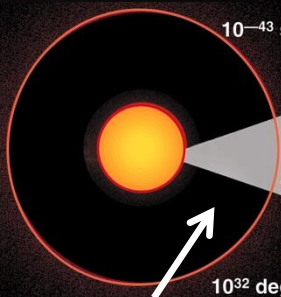
The first light in our Universe

$t = 13.7$ billion yrs

Cosmic inflation
(initial conditions)
($t \sim 10^{-35}$ s)



- | | |
|---|--------------------------------|
| γ radiation | e^+ positron (anti-electron) |
| q particles | p proton |
| W^+ heavy particles carrying the weak force | n neutron |
| W^- | m meson |
| q quark | H hydrogen |
| \bar{q} anti-quark | D deuterium |
| e^- electron | He helium |
| | Li lithium |



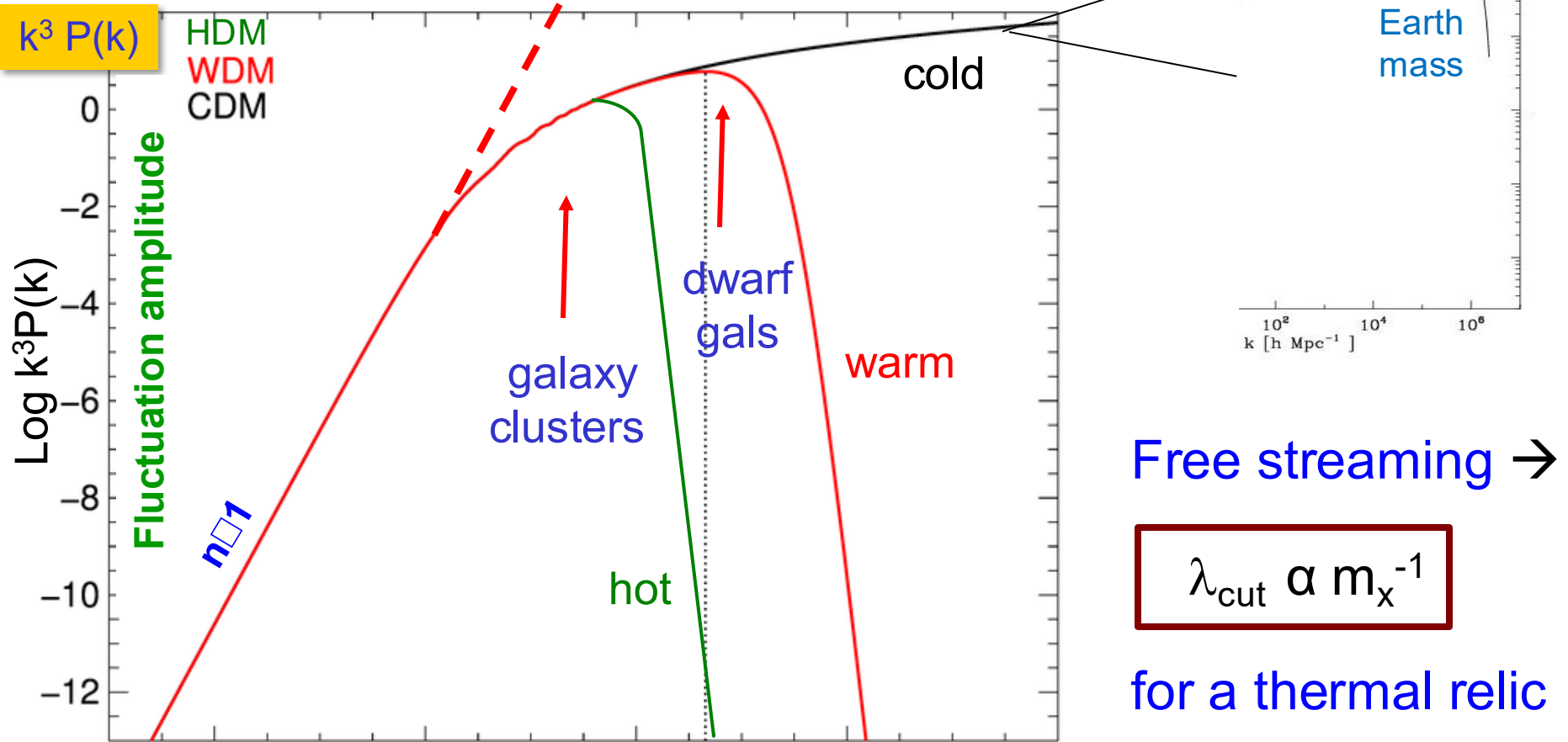
Non-baryonic dark matter candidates

From the early 1980s:

| Type | example | mass |
|------|---------------------|--------------------------------------|
| hot | neutrino | few tens of eV |
| warm | sterile ν | keV-MeV |
| cold | axion neutralino | $10^{-5}\text{eV} - 100 \text{ GeV}$ |

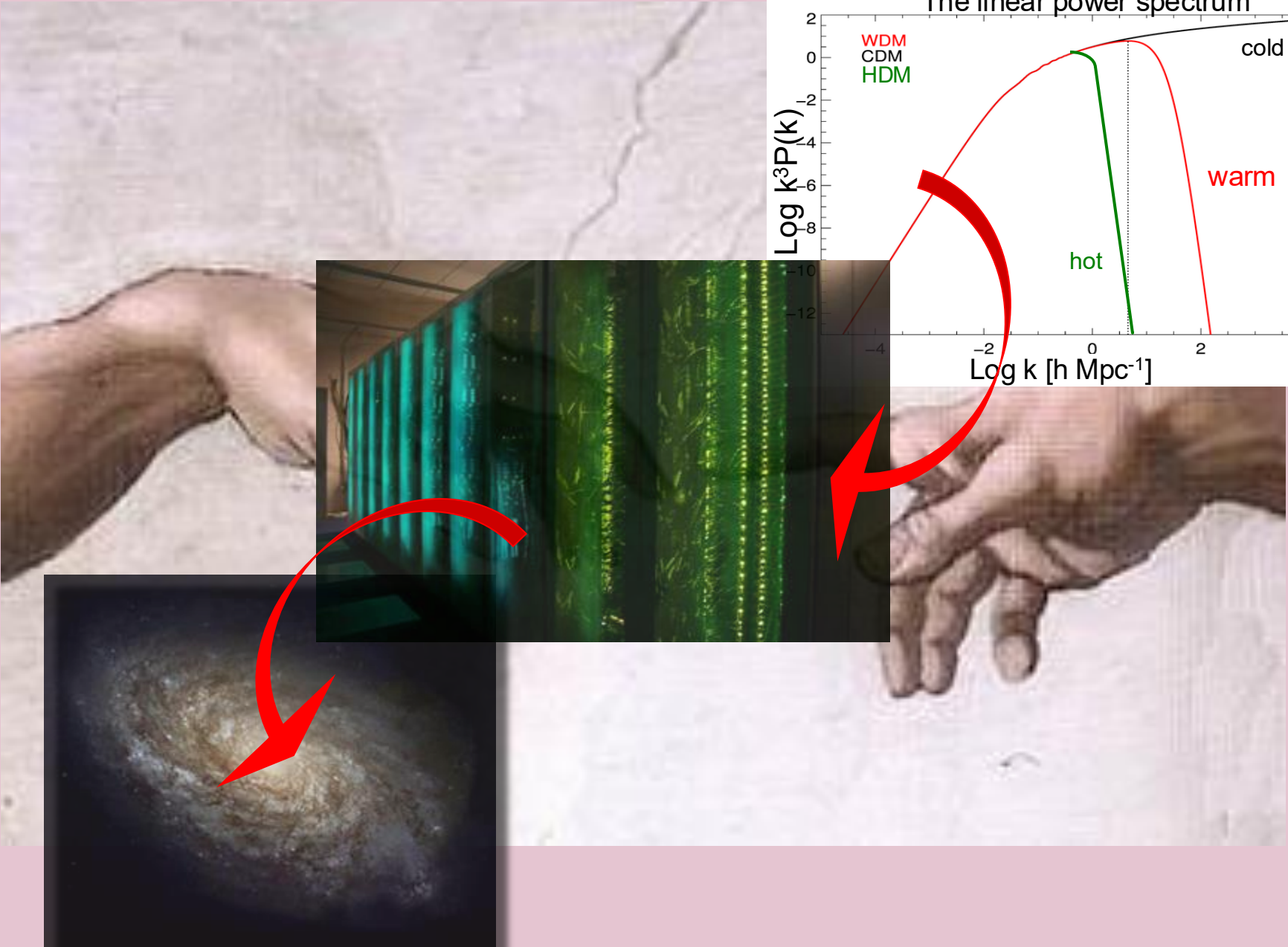
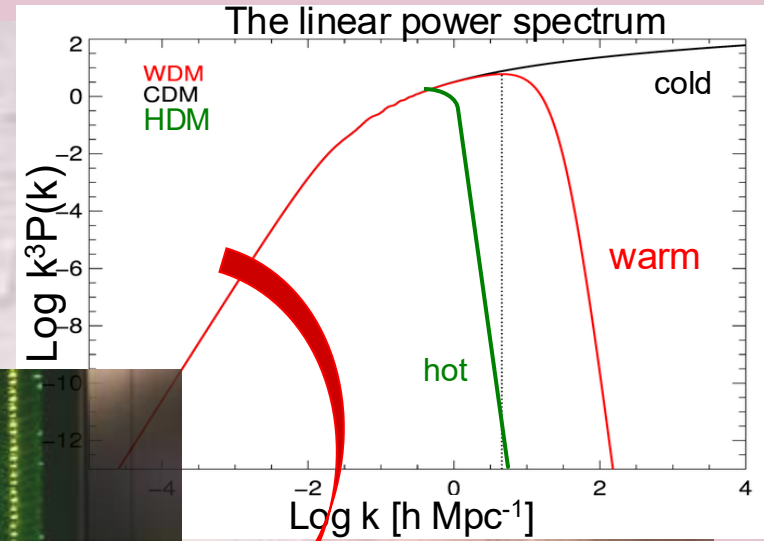
The dark matter power spectrum

The linear power spectrum (“power per octave”)



These possibilities can be tested with astrophysics

Non-linear evolution



Non-linear evolution: simulations

Assumption about content of Universe → Initial conditions

Relevant equations:

Collisionless Boltzmann;
Poisson; Friedmann eqns;
Radiative hydrodynamics
Subgrid astrophysics



How to make a virtual universe

Hot dark matter

-7-

LUBIMOV

$$m_\nu = 30 \text{ eV} \rightarrow \Omega_m = 1$$

1981

HAS THE NEUTRINO A NON-ZERO REST MASS? (Tritium β -Spectrum Measurement)

V. Lubimov, E. Novikov, V. Nozik, E. Tretyakov
Institute for Theoretical and Experimental Physics, Moscow, U.S.S.R.

V. Kosik
Institute of Molecular Genetics, Moscow, U.S.S.R.

ABSTRACT

The high energy part of the β -spectrum of tritium in the molecule was measured with high precision by a toroidal β -spectrometer. The results give evidence for a non-zero electron anti-neutrino mass.

Fifty years ago Pauli introduced the neutrino to explain the β -spectrum shape. Pauli made the first estimate of the neutrino mass ($E_{\beta \text{ max}} \approx \text{nuclei mass defect}$): it should be very small or maybe zero. Up to now the study of the β -spectrum shape is the most sensitive, direct method of neutrino mass measurement.

For allowed β -transitions, if $M_\nu = 0$, then $S \approx (E - E_0)^2$. The Kurie plot is then a straight line with the only kinematic parameter being $E_k = E_0$ (total β -transition energy). If $M_\nu \neq 0$, then $S \approx (E_0 - E) \sqrt{(E_0 - E)^2 - M_\nu^2}$. The Kurie plot is then distorted, especially near the endpoint.

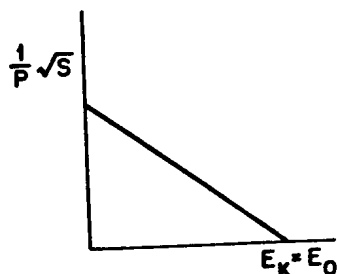


Fig. 1. Kurie plot for $M_\nu = 0$.

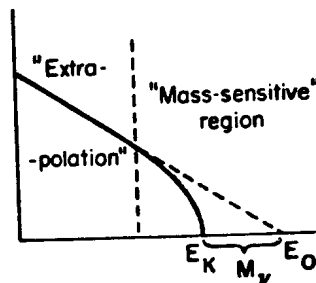
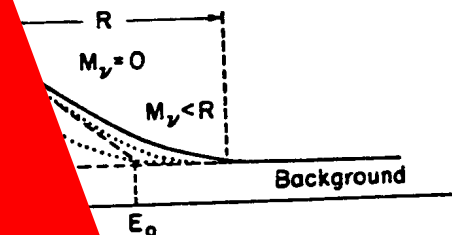


Fig. 2. Kurie plot for $M_\nu \neq 0$.

The method for the neutrino mass measurement is to obtain E_0 from the extrapolation and obtain E_k from the spectrum intercept. Then $M_\nu = E_0 - E_k$. Qualitatively, $M_\nu \neq 0$ if the β -spectrum near the endpoint runs below the extrapolated curve.

*Paper presented by Oleg Egorov.

things are more complicated. The apparatus resolution strongly affects the spectrum endpoint and rather the spectrum slope.



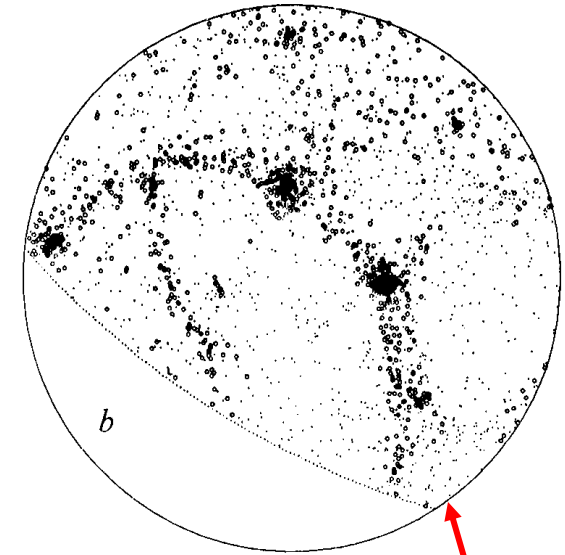
Realistic Kurie plot.

extrapolation. However, we are unable to indicate that $M_\nu \neq 0$. If $M_\nu \leq R$, the changes due to mass and the influence of R are indistinguishable. For M_ν determination the knowledge of R is compulsory. The background determines the statistical accuracy near the endpoint, i.e., in the region of the highest sensitivity to the ν mass. So: 1) R should be $\sim M_\nu$, 2) the smaller M_ν is, the smaller the background ($\sim M_\nu^2$) must be and the higher the statistics ($\sim M_\nu^{-2}$) must be. For example, suppose that for $M_\nu = 100 \text{ eV}$ we need resolution R , background Q , and statistics N . If $M_\nu = 30 \text{ eV}$, to achieve the same $\Delta M/M$ they should be $R/3$, $Q/10$, and $N \times 30$, respectively.

The shorter the β -spectrum, the less it is spread due to R (as $R \sim \Delta p/p = \text{const.}$). A classical example is ^3H β -decay, which has 1) the smallest $E_0 \sim 18.6 \text{ keV}$, 2) an allowed β -transition, simple nucleus, and simple theoretical interpretation, 3) highly reduced radioactivity. The first experiments with ^3H were by S. Curran et al. (1948) and G. Hanna, B. Pontecorvo (1949). Using ^3H gas in a proportional counter, they obtained $M_\nu \leq 1 \text{ keV}$. Further progress required magnetic spectrometer development. This allowed the resolution to be improved considerably, and L. Langer and R. Moffat (1952) obtained $M_\nu \leq 250 \text{ eV}$. The best value was obtained by K. Bergkvist (1972): $R \sim 50 \text{ eV}$ and $M_\nu \leq 55 \text{ eV}$.

The ITEP spectrometer is of a new type: ironless, with toroidal magnetic field (E. Tretyakov, 1973). The principle of the toroidal magnetic field focusing systems was proposed by V. Vladimirov et al. (An example is a "Horn" of ν -beams.) It turns out that a rectilinear conductor (current) has a focusing ability for particles emitted perpendicular to the rotation axis. This system has infinite periodical focusing structure. The ITEP spectrometer is based on this principle.

Non-baryonic dark matter cosmologies



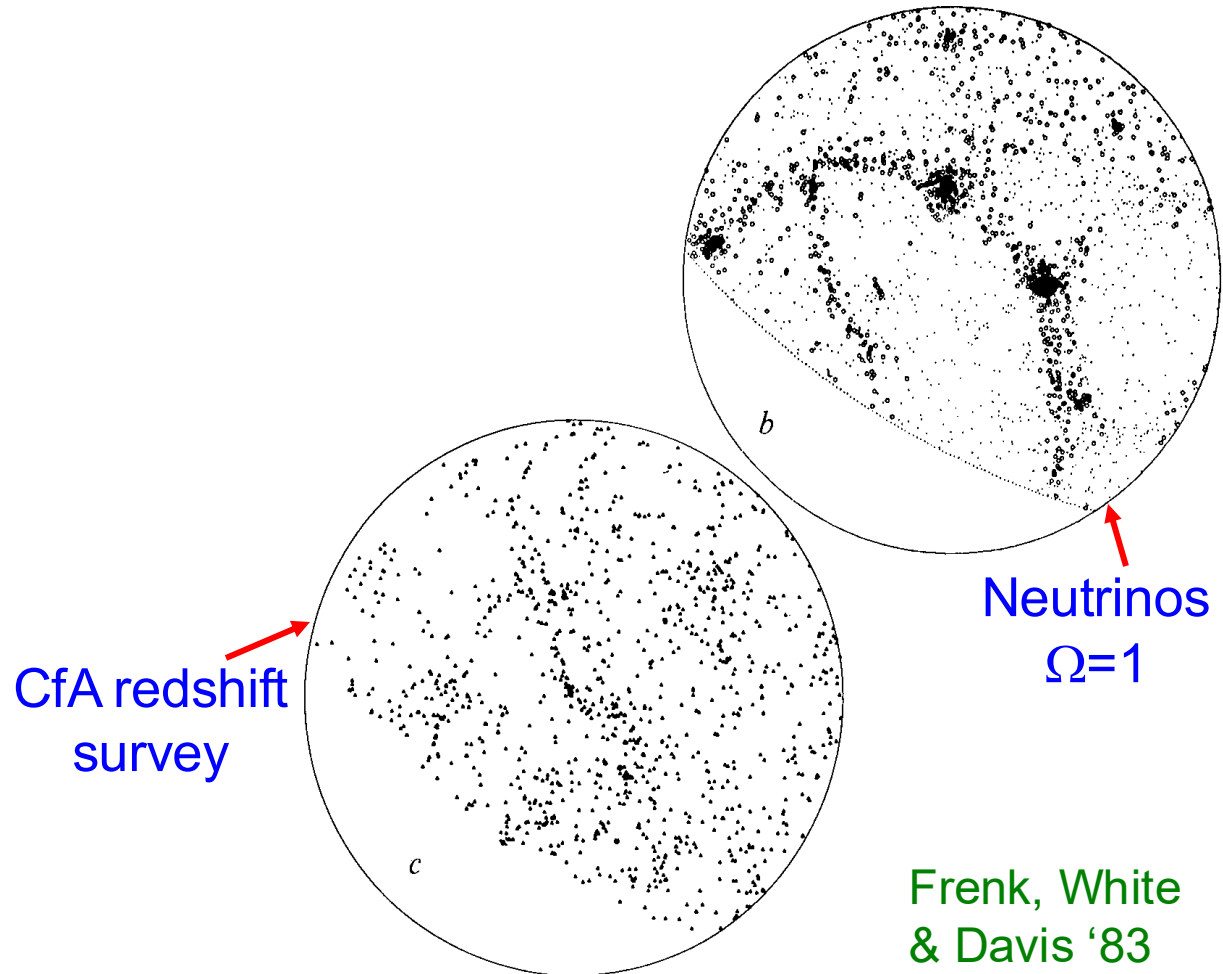
Neutrinos
 $\Omega=1$

Frenk, White
& Davis '83

Non-baryonic dark matter cosmologies

Neutrino DM →
wrong clustering

Neutrinos cannot
make appreciable
contribution to Ω
→ $m_\nu \ll 30$ eV



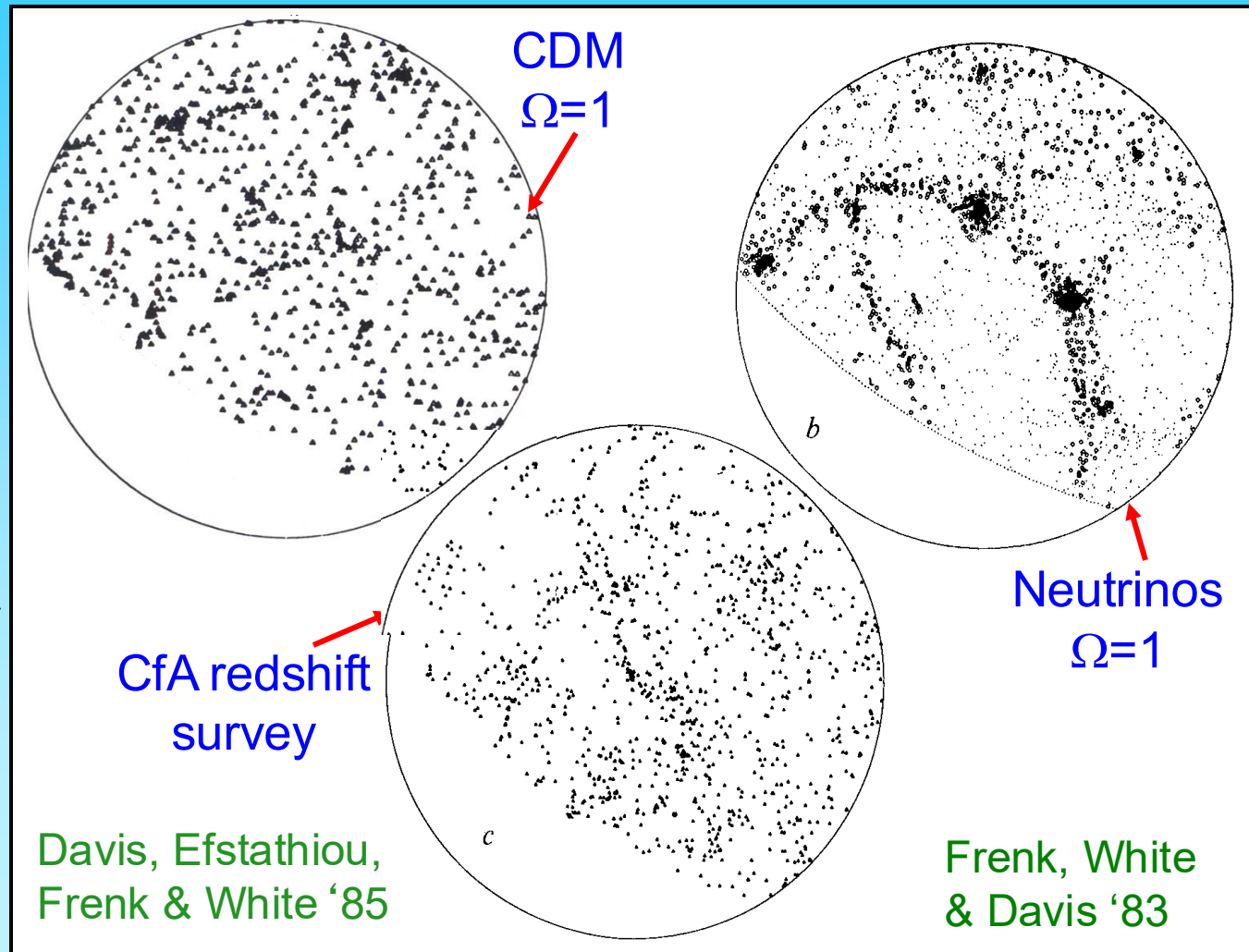
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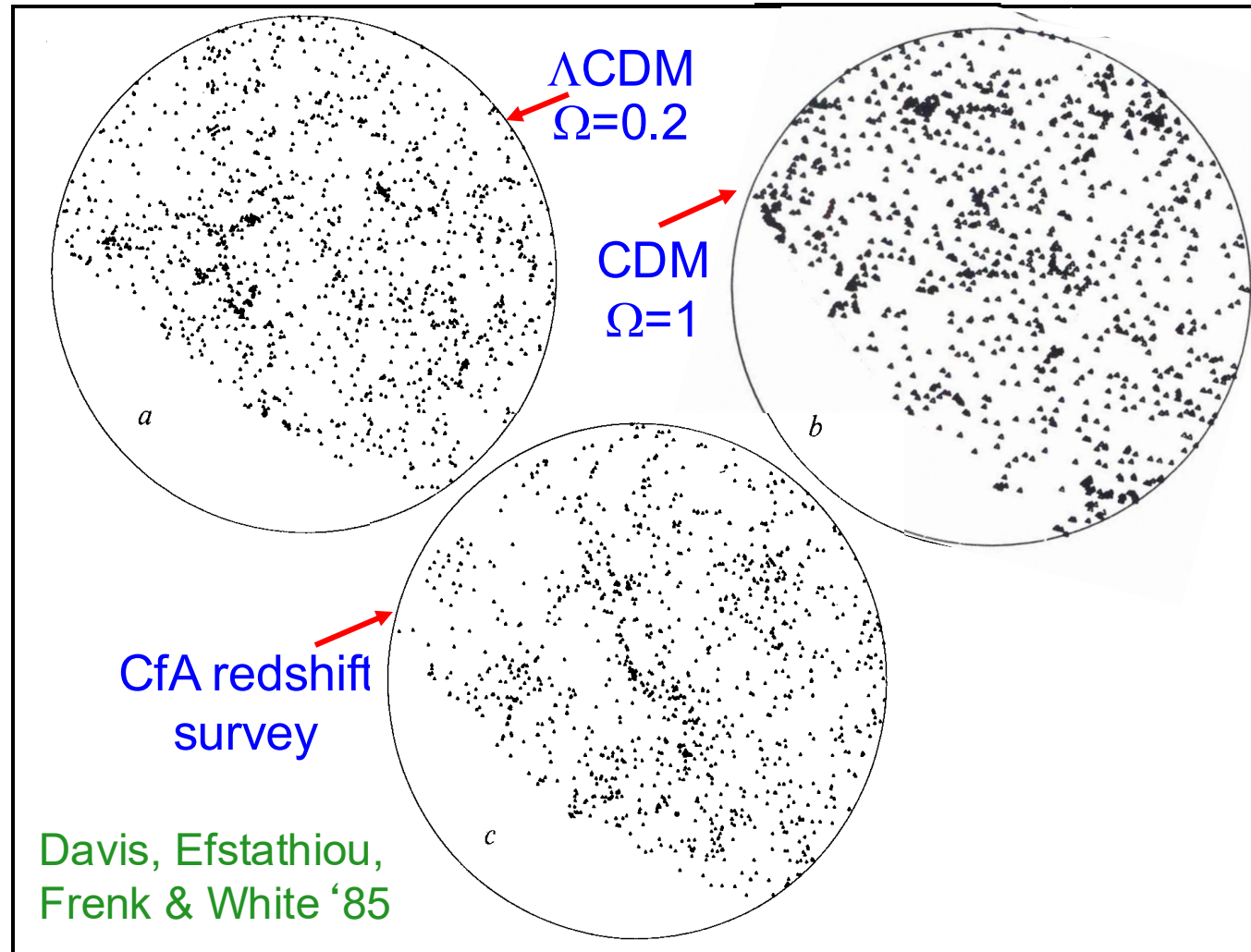
Early CDM N-body
simulations gave
promising results

In CDM structure
forms hierarchically



Non-baryonic dark matter cosmologies

Λ was
inconceivable in
1985

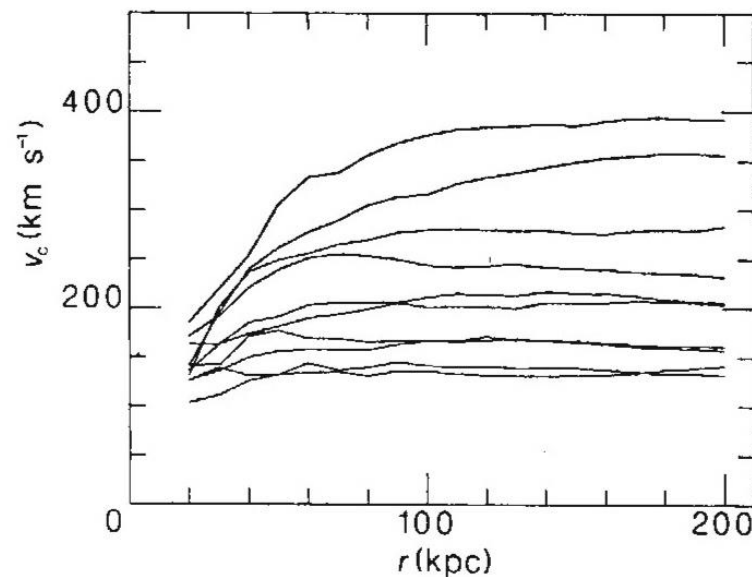


1982 – 1990: the glory days of
 $\Omega_{\text{matter}} = 1$ (\rightarrow “standard CDM”)

Cold dark matter, the structure of galactic haloes and the origin of the Hubble sequence

Carlos S. Frenk*, Simon D. M. White†, George Efstathiou‡ & Marc Davis§

A popular theory for galaxy formation holds that the Universe is dominated by exotic particles such as axions, photinos or gravitinos (collectively known as cold dark matter, CDM)^{1–3}. This hypothesis can reconcile the aesthetically pleasing idea of a flat universe with the standard theory of primordial nucleosynthesis and with upper limits on anisotropies in the cosmic microwave background^{4–6}. The resulting model is consistent with the observed dynamics of galaxy clustering only if galaxy formation is biased towards high-density regions^{7,8}. We have shown that such a biased model successfully matches the distribution of galaxies on megaparsec (Mpc) scales⁹. If it is to be viable, it must also account for the structure of individual galaxies and their haloes. Here we describe a simulation of a flat CDM universe which can resolve structures of comparable scale to the luminous parts of galaxies. We find that such a universe produces objects with the abundance and characteristic properties inferred for galaxy haloes. Our results imply that merging plays an important part in galaxy formation and suggest a possible explanation for the Hubble sequence.





The big Bang



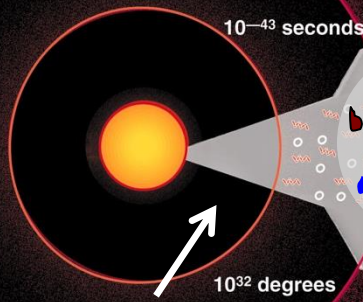
300 tho

3 minutes

15 thousand million years

The temperature of this radiation should show small irregularities

Production of particle dark matter
($t \sim 10^{-10}$ s)



10^{-43} seconds

10^{32} degrees

Cosmic inflation
(initial conditions)
($t \sim 10^{-35}$ s)

10^{27} degrees

10^{15} degrees

- | | |
|---|--------------------------|
| radiation | electron |
| particles | positron (anti-electron) |
| heavy particles carrying the weak force | proton |
| quark and anti-quark | neutron |
| | meson |
| | hydrogen |
| | deuterium |
| | helium |
| | lithium |



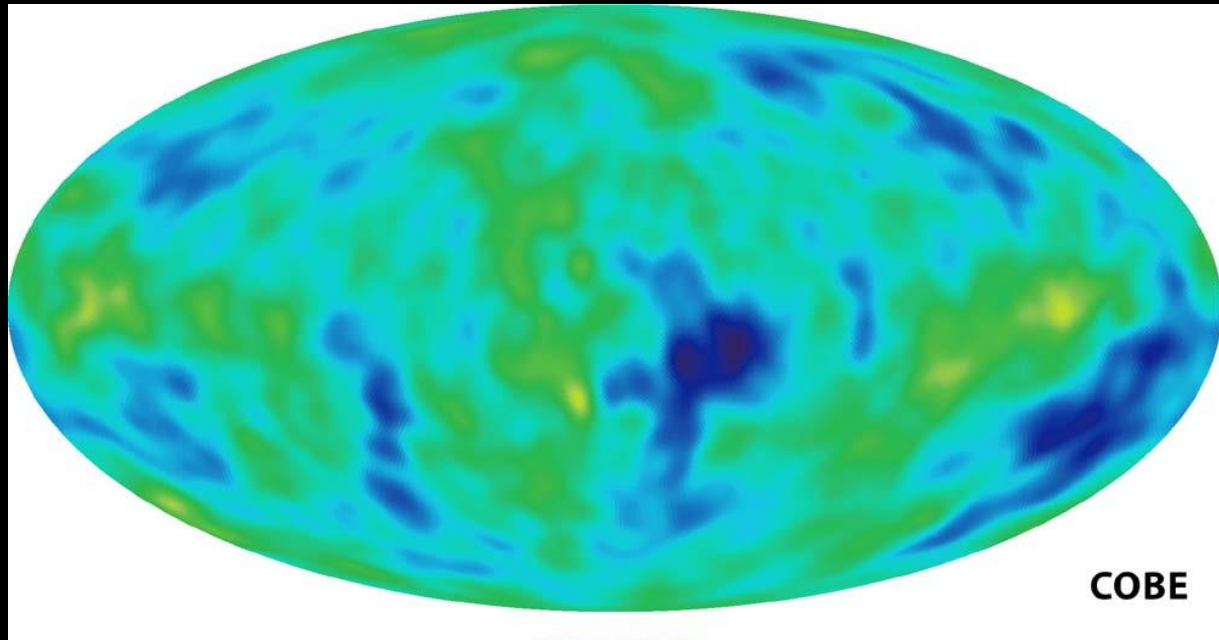
degrees

18 degrees

3 degrees K

$t = 13.7$ billion yrs

1992



COBE data consistent with $\Omega = 1$ CDM!

George Smoot - Nobel Prize 2006



1982 – 1990: the glory days of
 $\Omega_{\text{matter}} = 1$ (\rightarrow “standard CDM”)

What
went
wrong?





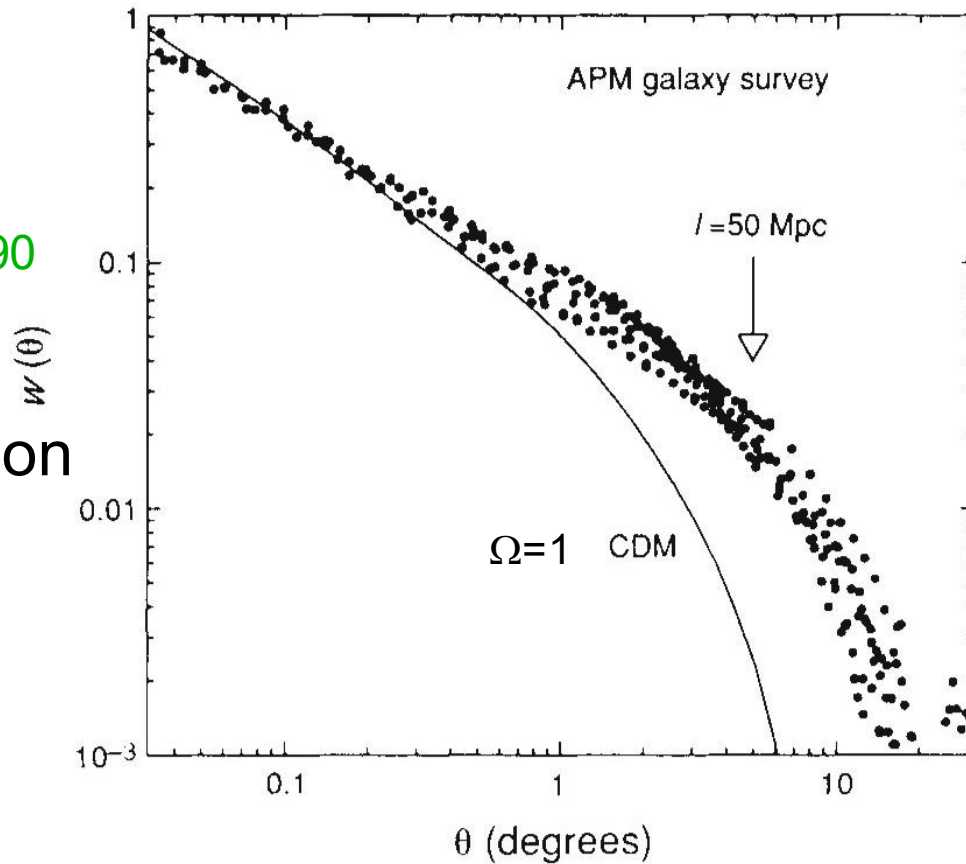
Λ CDM

1990: $\Omega = 1$ CDM under strain

Angular 2-pt correlation function

Maddox, Efstathiou,
Sutherland & Loveday '90

Too much “power on
large scales”



Possible solution: lower Ω_{matter} and add Λ to CDM (to have $\Omega_{\text{tot}}=1$, as required by inflation) (Efstathiou et al 1991)

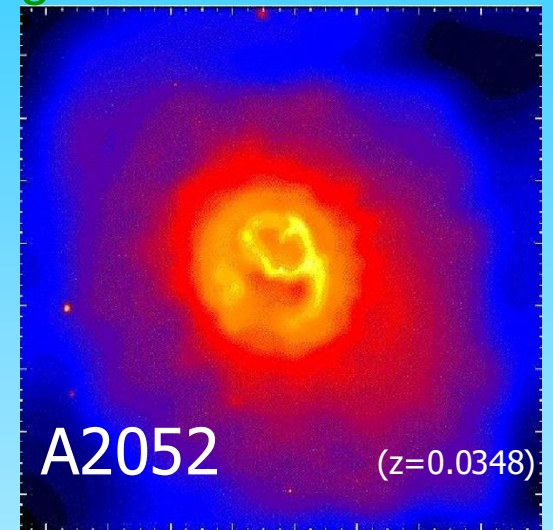
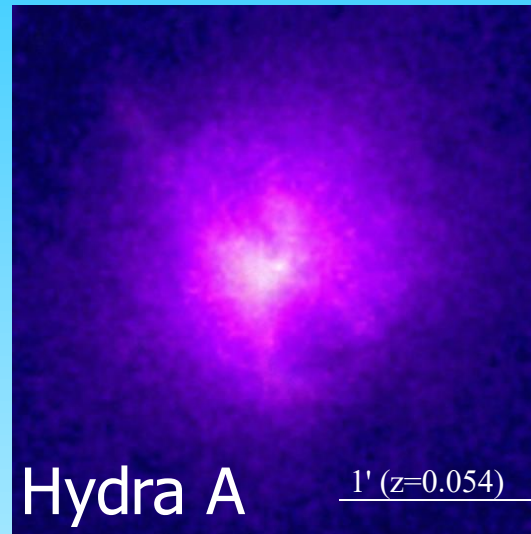
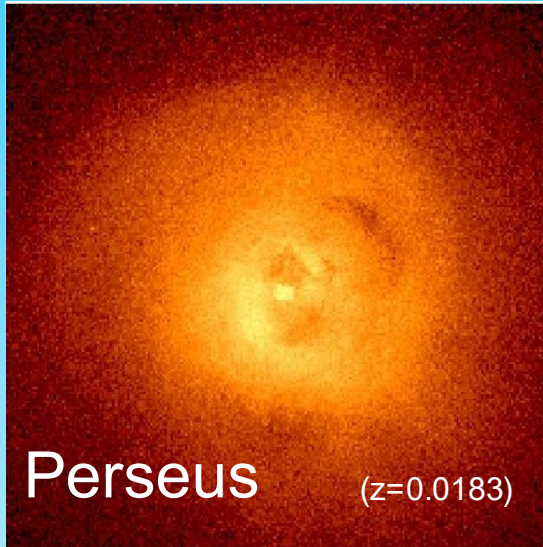
The end of standard ($\Omega_{\text{matter}}=1$) CDM ... or why Ω_{matter} cannot be 1

White, Navarro, Evrard & Frenk -- Nature 1993

Galaxy clusters

X-ray emission from hot plasma in clusters

Images from David Buote



About 90% of baryons in clusters are in hot gas

X-rays \Rightarrow gas mass

Photometry \Rightarrow stellar mass

Gas in hydrostatic equilibrium so X-rays

(or lensing) \Rightarrow total gravitating mass

\Rightarrow Baryon fraction, f_b

Ω from the baryon fraction in clusters

baryon fraction in clusters \approx baryon fraction of universe

$$f_b = \frac{M_b}{M_{tot}} = \gamma \frac{\Omega_b}{\Omega_m}$$

White, Navarro,
Evrard & Frenk
Nature 1993

where $\gamma=1$ if f_b is a fair sample of the universe

simulations $\rightarrow \gamma = 0.9 \pm 10\%$

X-rays+lensing $\rightarrow f_b = (0.060h^{-3/2} + 0.009) \pm 10\%$

BBNS, CMB $\rightarrow \Omega_b h^2 = 0.019 \pm 20\%$

HST $\rightarrow h = 0.7 \pm 10\%$

$$\rightarrow \Omega_m = \frac{\Omega_b \gamma}{f_b} = 0.31 \pm 0.12$$

→ $\Omega_m = \frac{\Omega_b \gamma}{f_b} = 0.31 \pm 0.12$ White, Navarro,
Evrard & Frenk
Nature 1993

Requires $\Lambda \propto 0.7$ to be compatible with inflation ($\Omega=1$)

There is no theoretical basis for inferred value of Λ

A “natural” value (Planck value) is

10^{120} larger than observed !!!

Nature 1992

REVIEW ARTICLE

The end of cold dark matter?

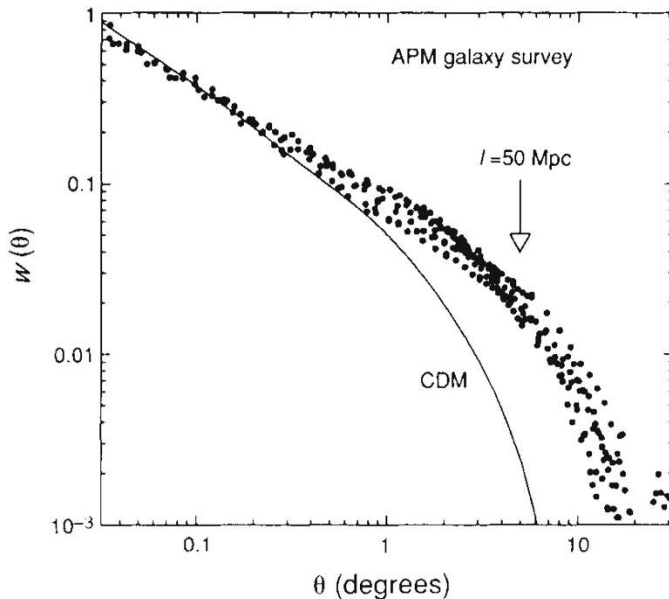
M. Davis, G. Efstathiou, C. S. Frenk & S. D. M. White

The successful cold dark matter (CDM) theory for the formation of structure in the Universe has suffered recent setbacks from observational evidence suggesting that there is more large-scale structure than it can explain. This may force a fundamental revision or even abandonment of the theory, or may simply reflect a modulation of the galaxy distribution by processes associated with galaxy formation. Better understanding of galaxy formation is needed before the demise of CDM is declared.

How did structure in the Universe form? This question has puzzled mankind for centuries, but in the past decade some cosmologists have felt that they were close to providing an answer. What has become known as the cold dark matter (CDM) theory is an elegant construct which links many aspects of the structure we see today to physical processes which took place when the Universe was only 10^{-35} s old. Recently, observations have been reported that seem to conflict with this model (see.

tion could have originated from quantum fluctuations that were inflated to macroscopic scale. Except in circumstances that appear contrived, the fluctuations would indeed contain no characteristic scales; in technical terms, irregularities in the spatial curvature are predicted to be a gaussian random field with a scale-invariant spectrum⁹⁻¹². For the first time cosmologists had a set of initial conditions stemming directly from fundamental, even if speculative, physics.

Angular 2-pt correlation function



end of the range allowed by observation⁵⁵, lowering the Hubble constant still further seems an implausible way of obtaining more large-scale structure. Lowering Ω is another possibility, but without an additional ingredient such models are inconsistent both with a spatially flat universe and with present upper limits on fluctuations in the microwave background^{56,57}. These problems can be avoided by appealing to a cosmological constant, because a low-density universe is spatially flat if the cosmological constant takes the value⁵⁸ $\Lambda = 3H_0^2(1 - \Omega)$. With such carefully chosen parameters it is possible to construct a CDM universe that explains large-scale structure⁵⁹, is compatible with inflation and with microwave-background experiments, and is old enough to contain the oldest observed star clusters even for a present expansion rate as high as $H_0 = 80 \text{ km s}^{-1} \text{ Mpc}^{-1}$, the value preferred by some recent measurements^{60,61}. From the point of view of a particle physicist, the value of Λ needed to work these miracles is extraordinarily small, 10^{120} times smaller than its 'natural' value⁶². Such fine tuning seems sufficiently unattractive that most cosmologists regard this solution as a long shot, preferring to think that some unknown symmetry principle requires the cosmological constant to be exactly zero.

Other possible fixes for the CDM model involve decaying particles or departures from the scale-invariant seed fluctuations predicted by simple inflationary models. For example, the pre-



(Some) evidence for dark energy

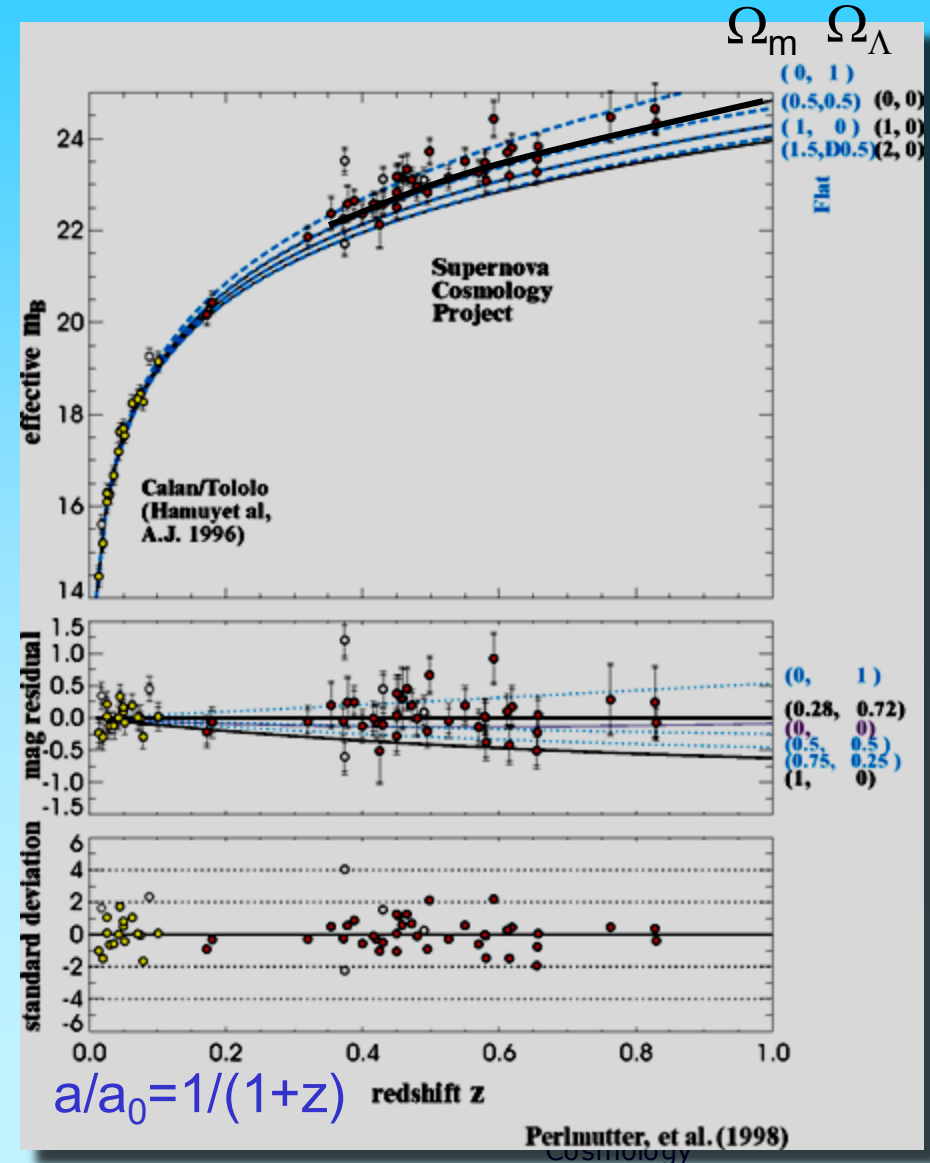
Evidence for Λ from high- z supernovae

SN type Ia (standard candles) at $z \sim 0.5$ are fainter than expected even if the Universe were empty

flux
↓

□ Cosmic expansion must have been accelerating since the light was emitted

Perlmutter et al '98; Riess et al '98
Schmidt et al '98

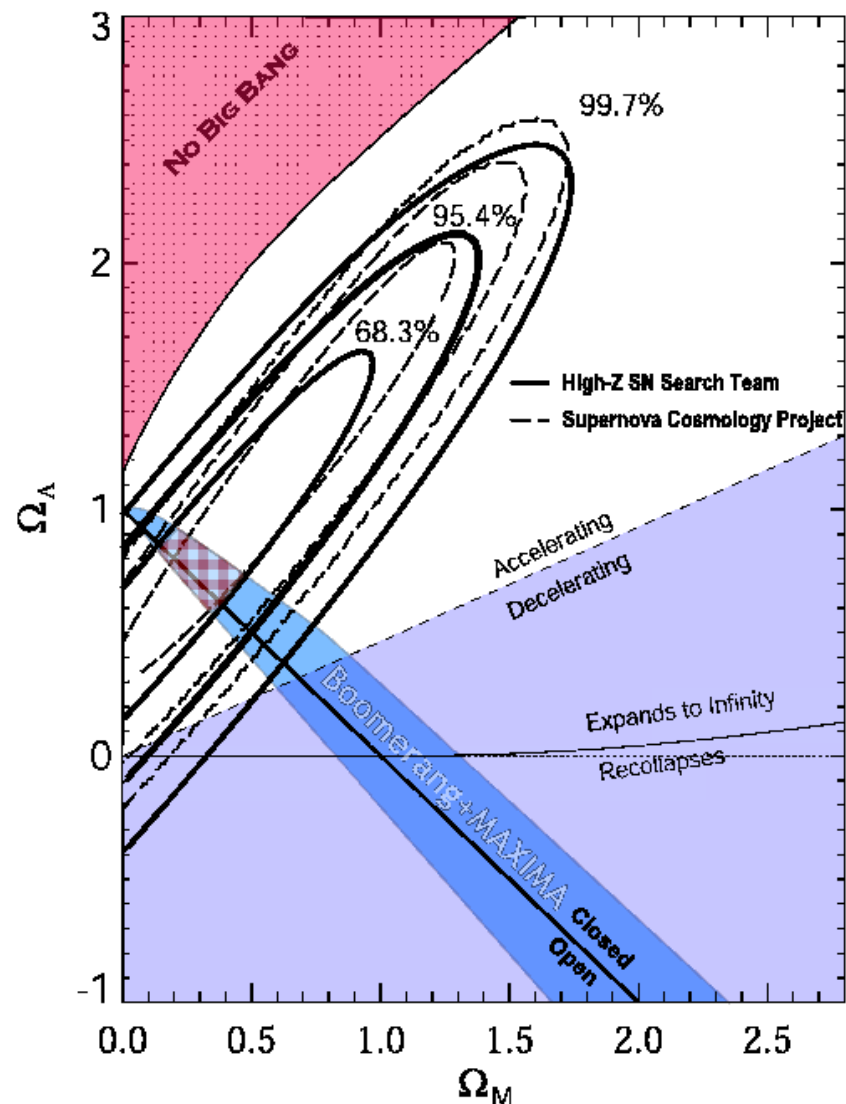


Evidence for Λ from high- z supernovae

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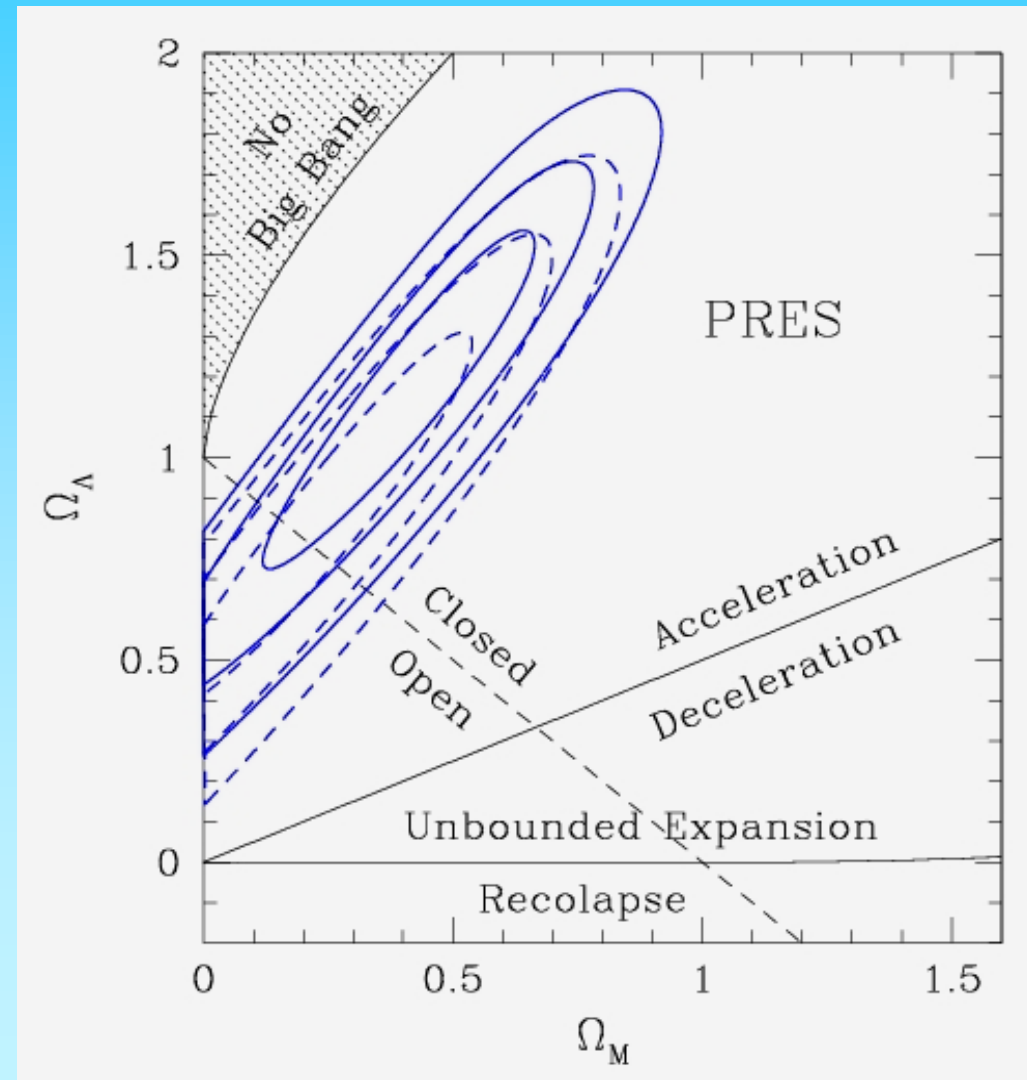
Perlmutter et al '98; Reiss et al '98
Schmidt et al '98



Evidence for Λ from high- z supernovae

Later data **ruled out** $\Omega_{\Lambda} = 0$.

Clocchiatti et al '06



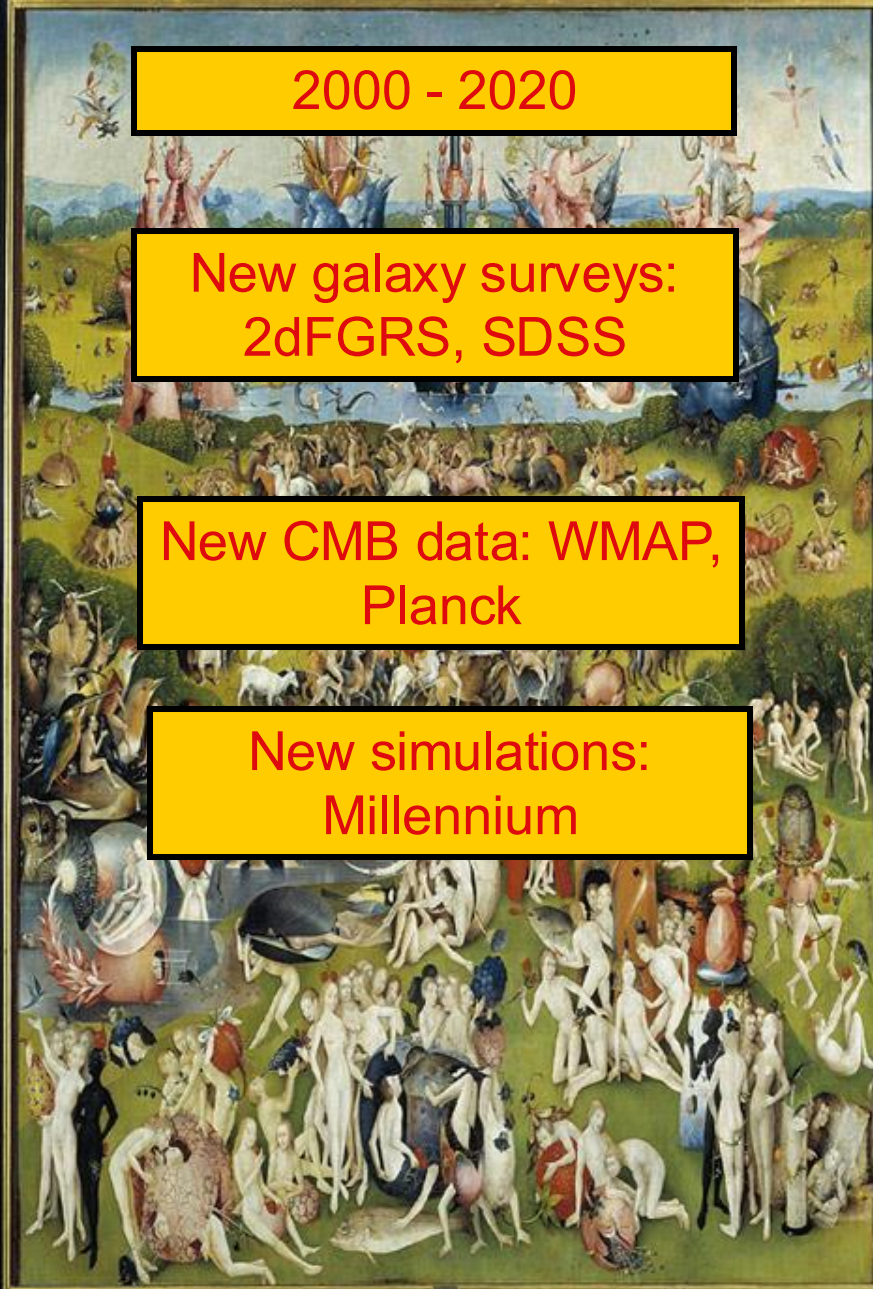


2000 - 2020

New galaxy surveys:
2dFGRS, SDSS

New CMB data: WMAP,
Planck

New simulations:
Millennium



Observational tests of Λ CDM

Fundamental prediction of Λ CDM

→ Primordial **power spectrum** of density perturbations
+ random phases

Can test this in **two regimes**:

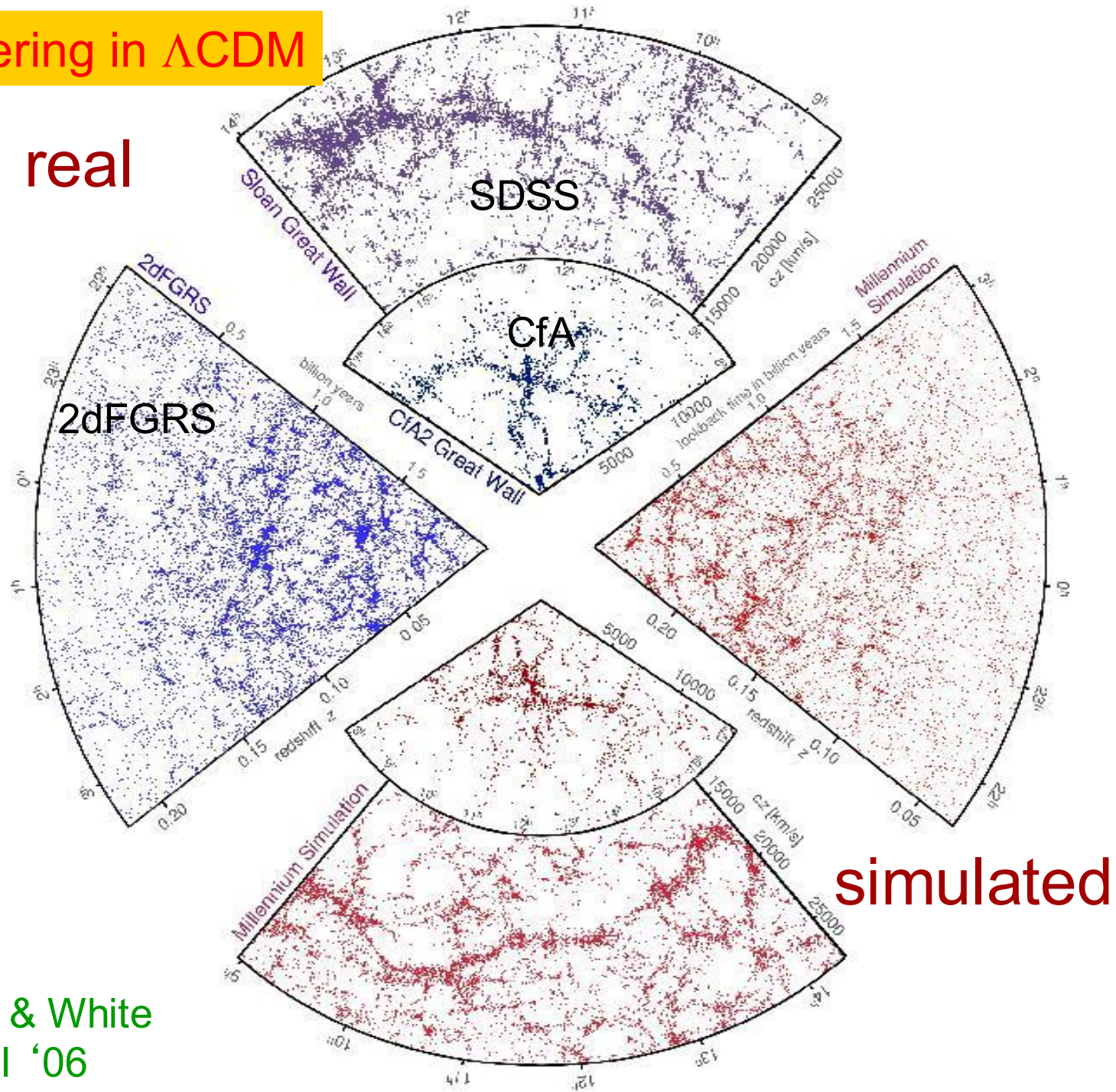
Linear regime: cosmic microwave background ($z \sim 1000$)
large-scale structure ($z \sim 3 - 0$)

Evolved non-linear regime: dark matter halos →

- abundance ($z \sim 15 - 0$)
- structure
- clustering

Galaxy clustering in Λ CDM

real



Springel, Frenk & White
Nature, April '06

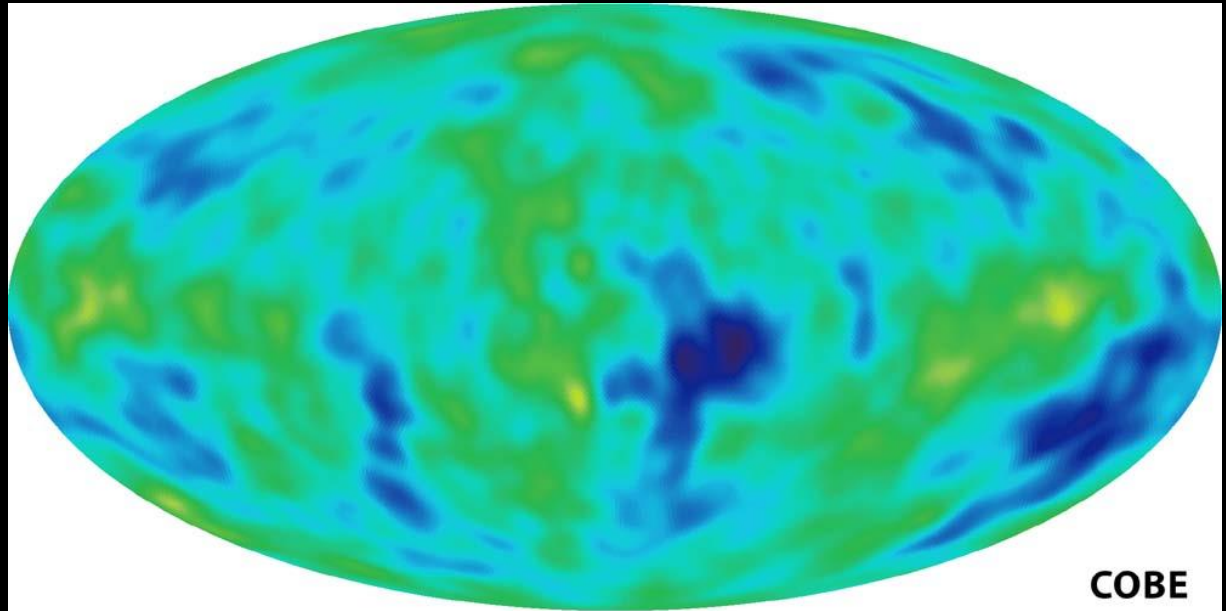
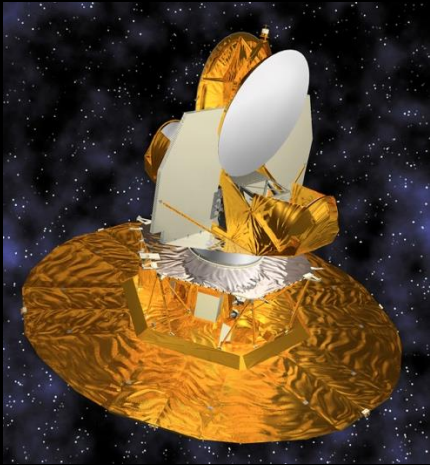
ICC

The CMB

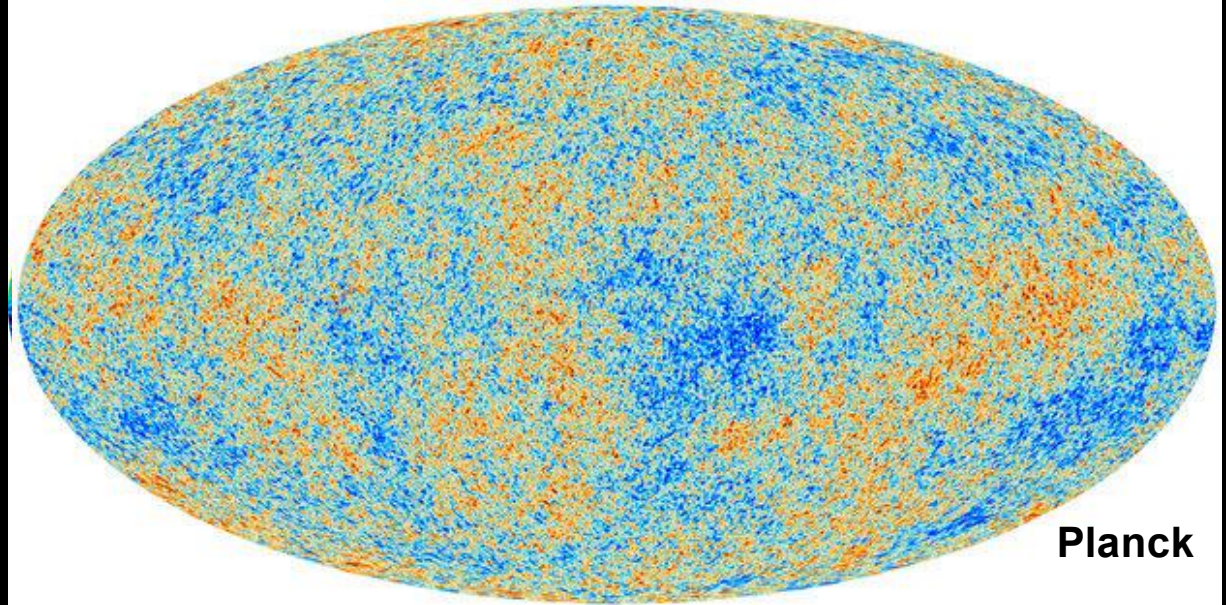
1992



2012

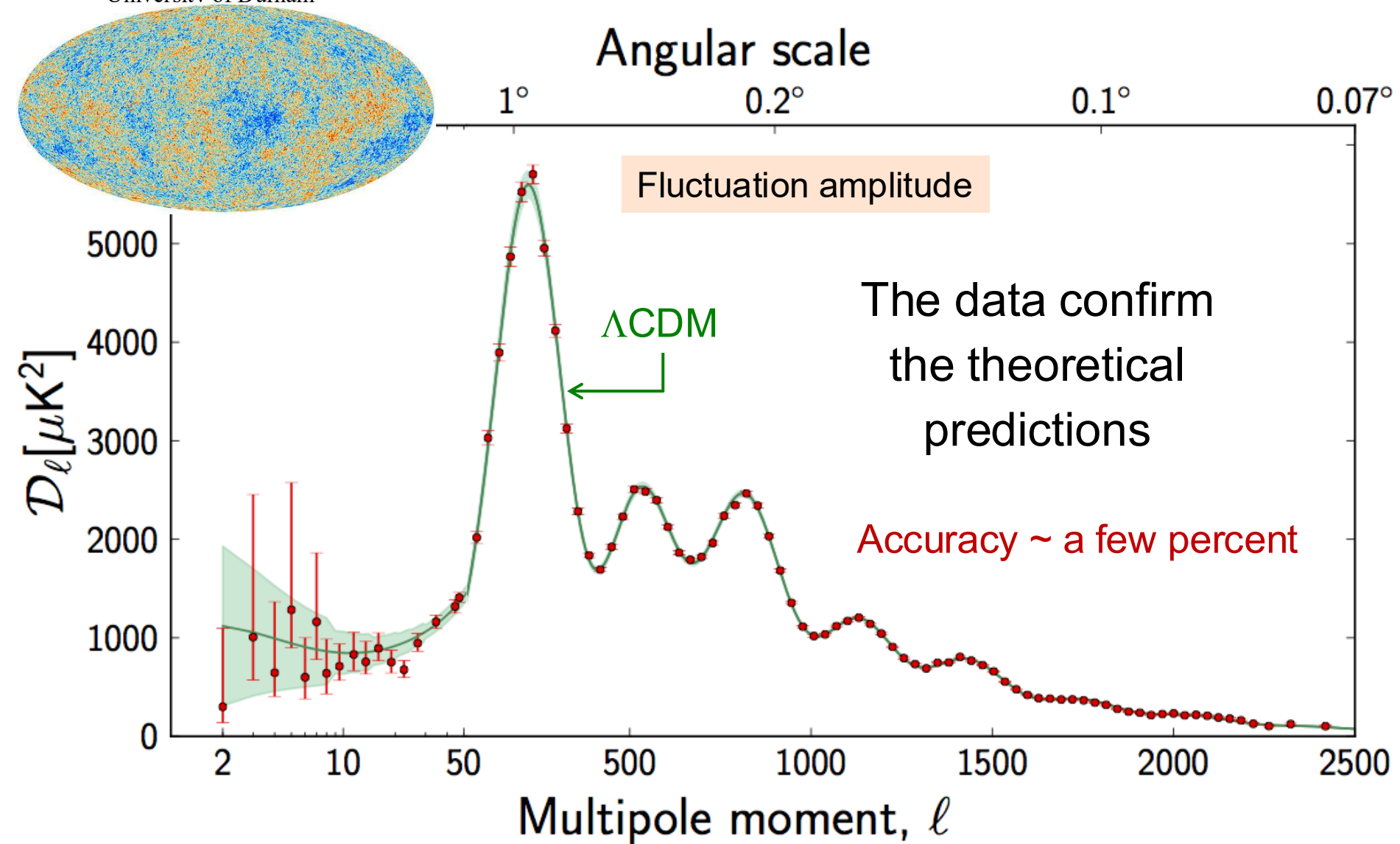


COBE



Planck

Planck: CMB temperature anisotropies



Tests of Λ CDM a subpercent accuracy?

DARK ENERGY SPECTROSCOPIC INSTRUMENT

U.S. Department of Energy Office of Science



72 participating institutions across the world



A Tantalizing 'Hint' That Astronomers Got Dark Energy All Wrong

Scientists may have discovered a major flaw in their understanding of that mysterious cosmic force. That could be good news for the fate of the universe.

▶ [Linked to this article: 8:55 min](#) [Lecture 2022](#)

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610



By Dennis Overbye

April 4, 2024

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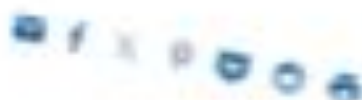
On Thursday, astronomers who are conducting what they describe as the biggest and most exciting experiment of the history of the

A Tantalizing 'Hint' That Astronomers Got Dark Energy All Wrong

...discovered a major flaw in their
...mic force. That could be

A neutrino mass mismatch could shake cosmology's foundations

Confounding estimates of neutrino masses have researchers considering new clues about the
cosmos

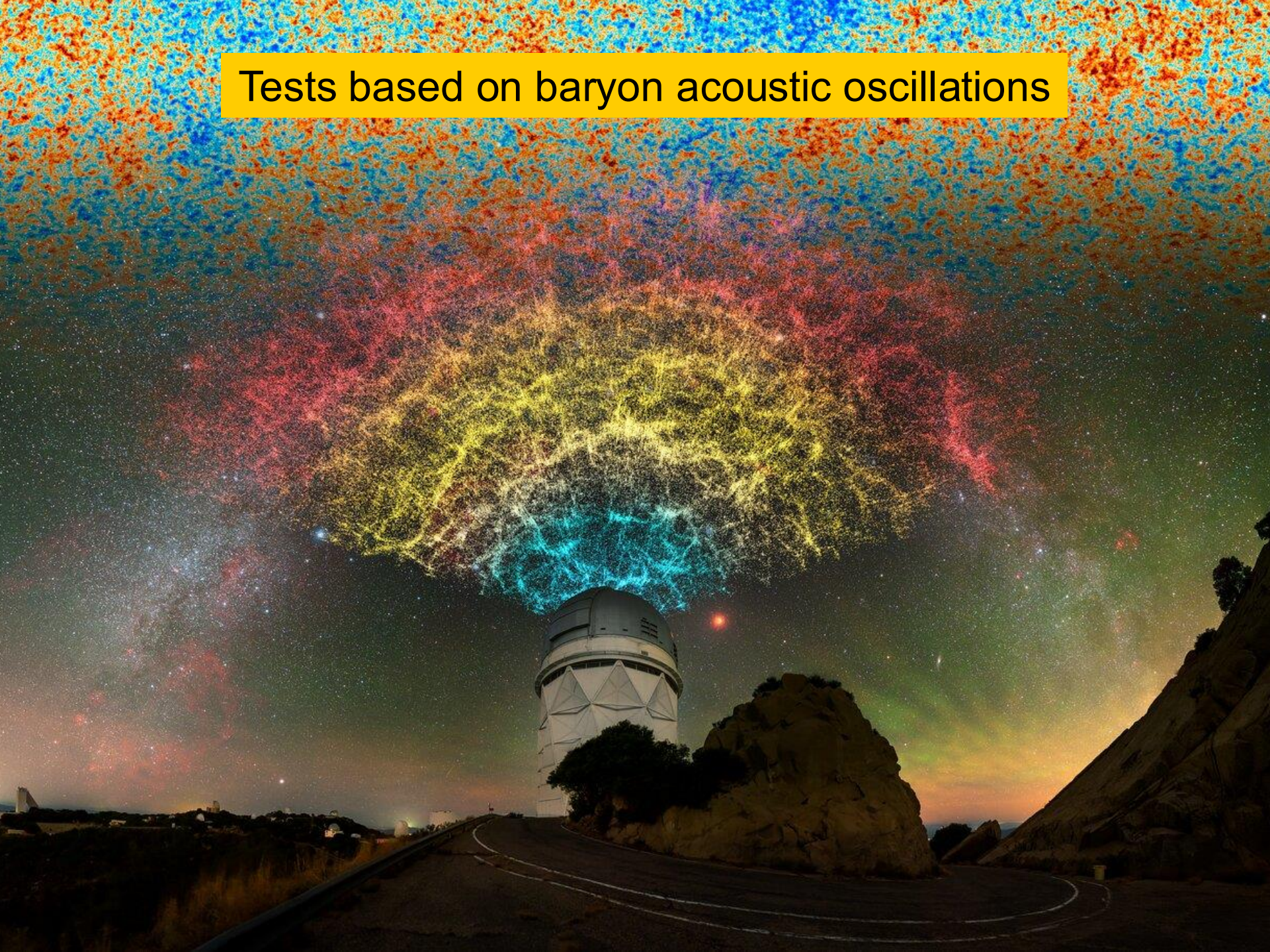


By Emily Conway

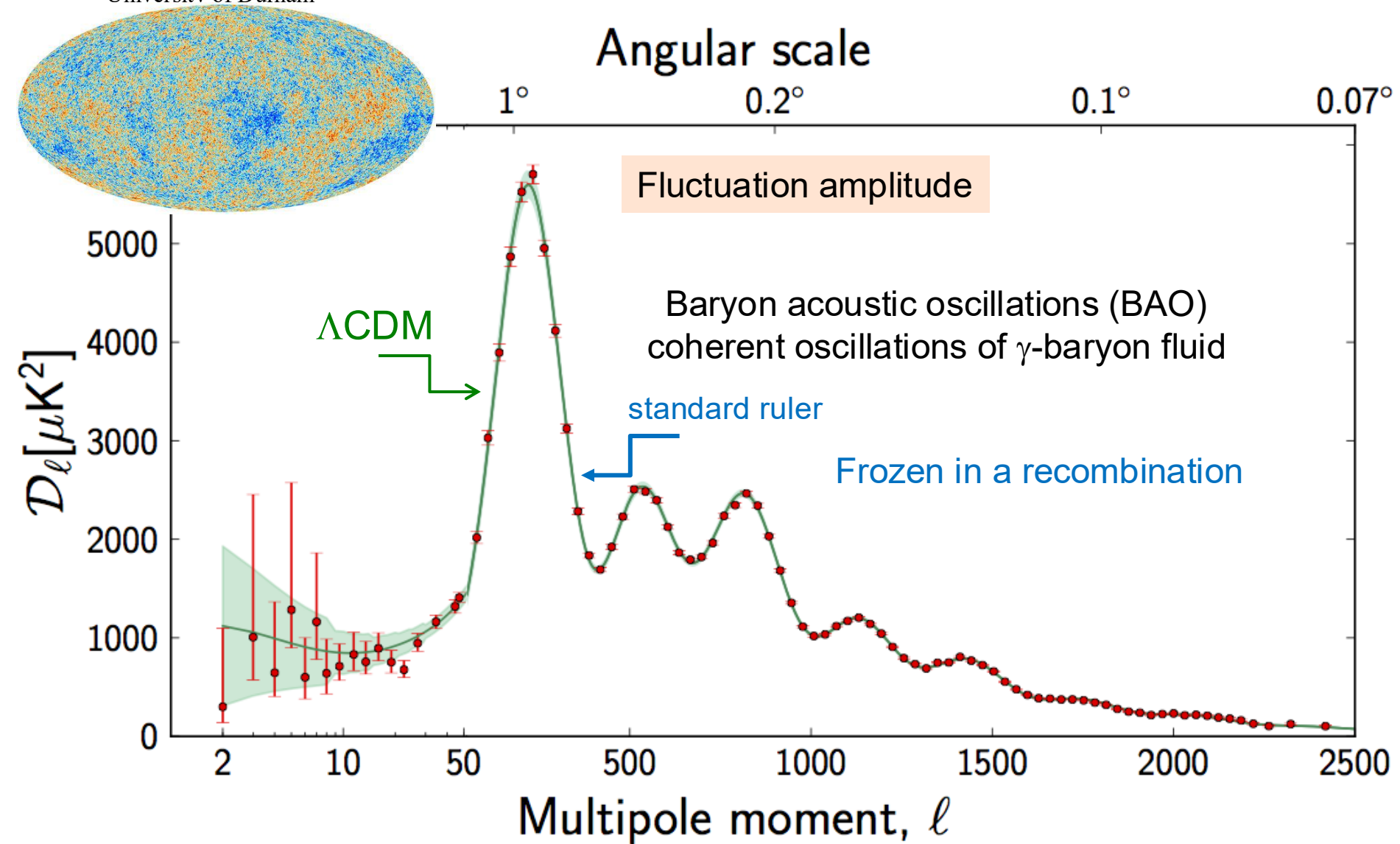
Published on March 28, 2014 at 4:30 PM

At the ...

Tests based on baryon acoustic oscillations

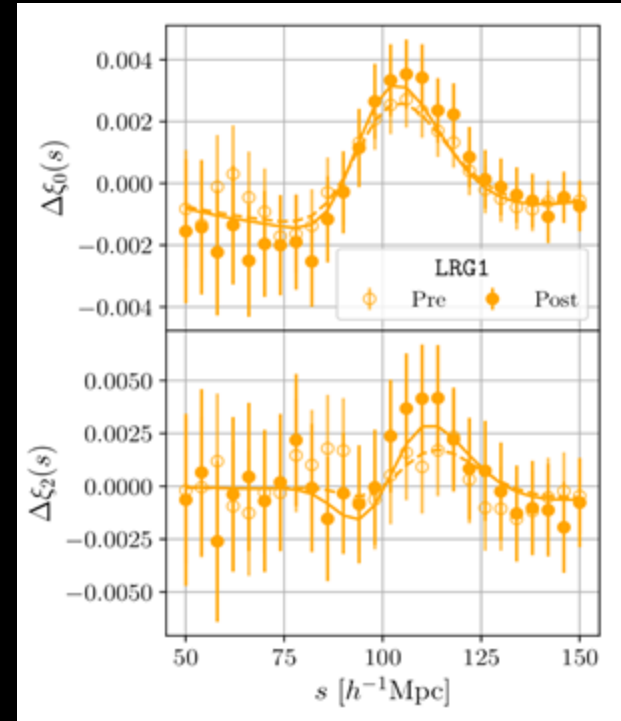
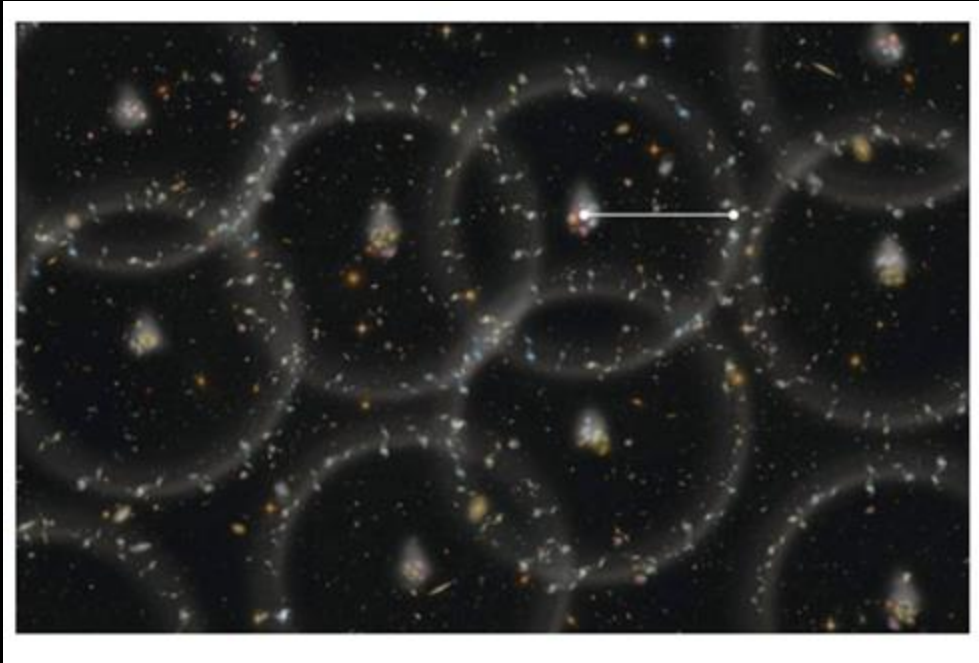


Planck: CMB temperature anisotropies



Baryon acoustic oscillations (BAO)

DESI already has > 30 million spectra



The scale of the oscillations (the sound horizon at z_{rec}) is determined by physics → BAO scale is standard ruler

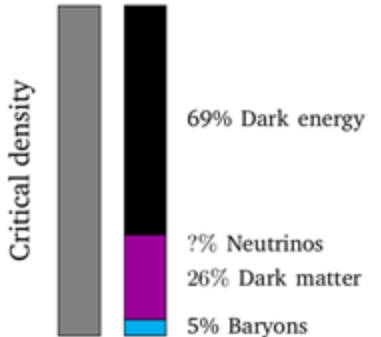
Discovered in 2005 by 2dFGRS and SDSS

→ can measure expansion history of universe

The distance-redshift relation

The distance-redshift relation depends on the energy density of various matter components, including massive neutrinos:

$$D_M(z) = \frac{c}{H_0} \int_0^z dz' \frac{H_0}{H(z')},$$

$$\frac{H(z)^2}{H_0^2} = \overbrace{\Omega_r(1+z)^4}^{\text{Radiation}} + \overbrace{\Omega_m(1+z)^3}^{\text{Matter}} + \overbrace{\Omega_\Lambda}^{\text{Dark energy}} + \overbrace{\Omega_\nu(z)}^{\text{Neutrinos}}.$$


| Component | Percentage |
|-------------|------------|
| Dark energy | 69% |
| Dark matter | 26% |
| Baryons | 5% |
| Neutrinos | 7% |

DESI BAO and dark energy

Dark energy has a negative pressure:

$$P = w\rho$$

Simplest case:
 $w = -1 \quad (\Lambda)$

Introducing a time-varying equation of state:

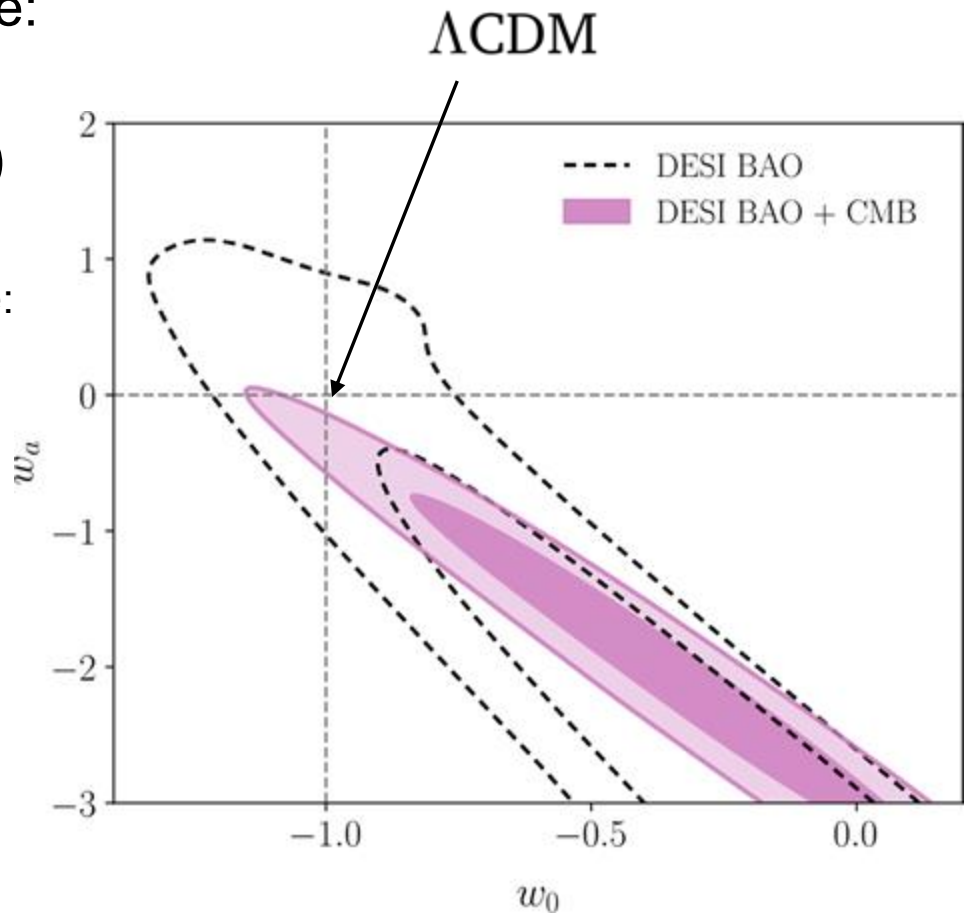
$$w(a) = w_0 + w_a(1 - a)$$

DR1 results

Preference over Λ CDM:

DESI alone: 1.5σ

DESI + CMB: 2.6σ



DESI BAO and dark energy

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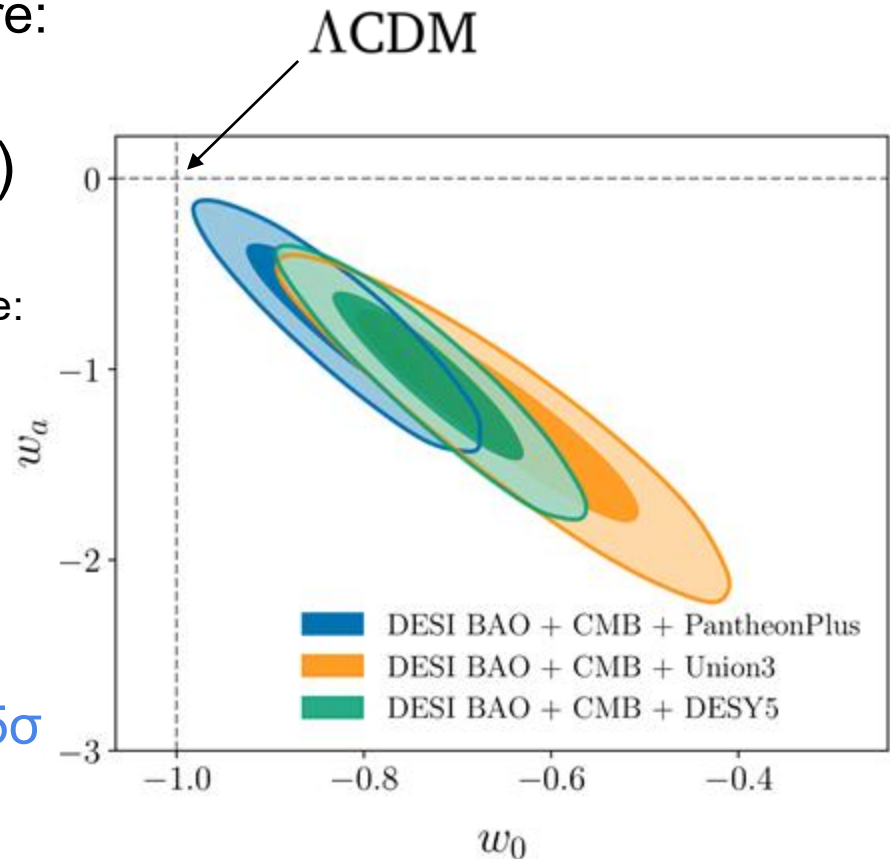
DR1 results

Preference over Λ CDM:

DESI + CMB + **PantheonPlus**: 2.5σ

DESI + CMB + **Union3**: 3.5σ

DESI + CMB + **DES Y5**: 3.9σ



DESI collaboration: Adame+ '24

The DESI DR2 sample

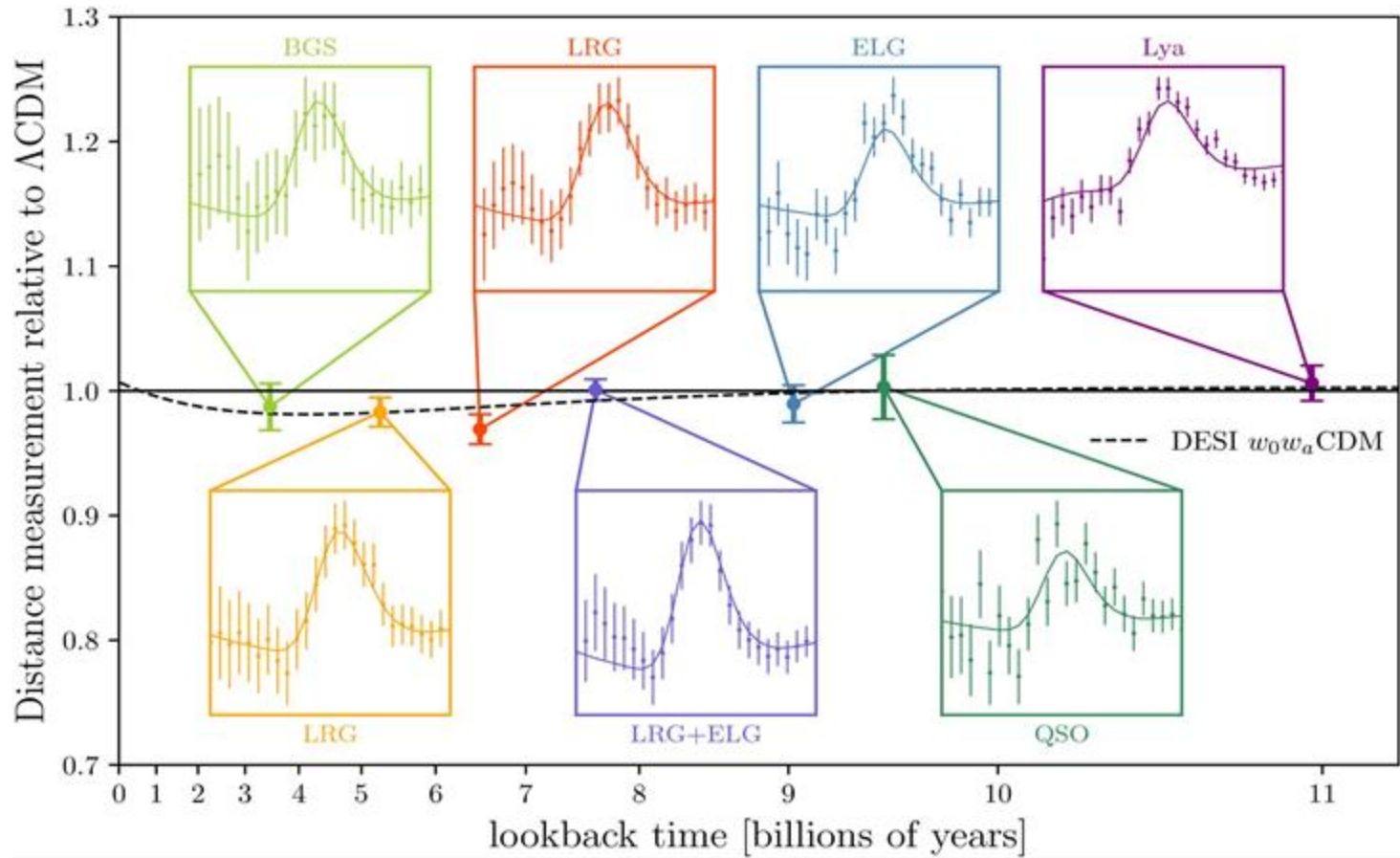
We now have DR2 results (papers in April/25)

DESI observed over 30M galaxy and quasar redshifts after 3 years, 14M of which are used in the latest analysis.

This represents a factor
2.4 improvement over DR1.

| Tracer | DR1 | DR2 |
|--------|-----------|------------|
| BGS | 300,043 | 1,188,526 |
| LRG | 2,138,627 | 4,468,483 |
| ELG | 2,432,072 | 6,534,844 |
| QSO | 1,223,391 | 2,062,839 |
| Total | 6,094,133 | 14,254,692 |

DESI BAO measurements

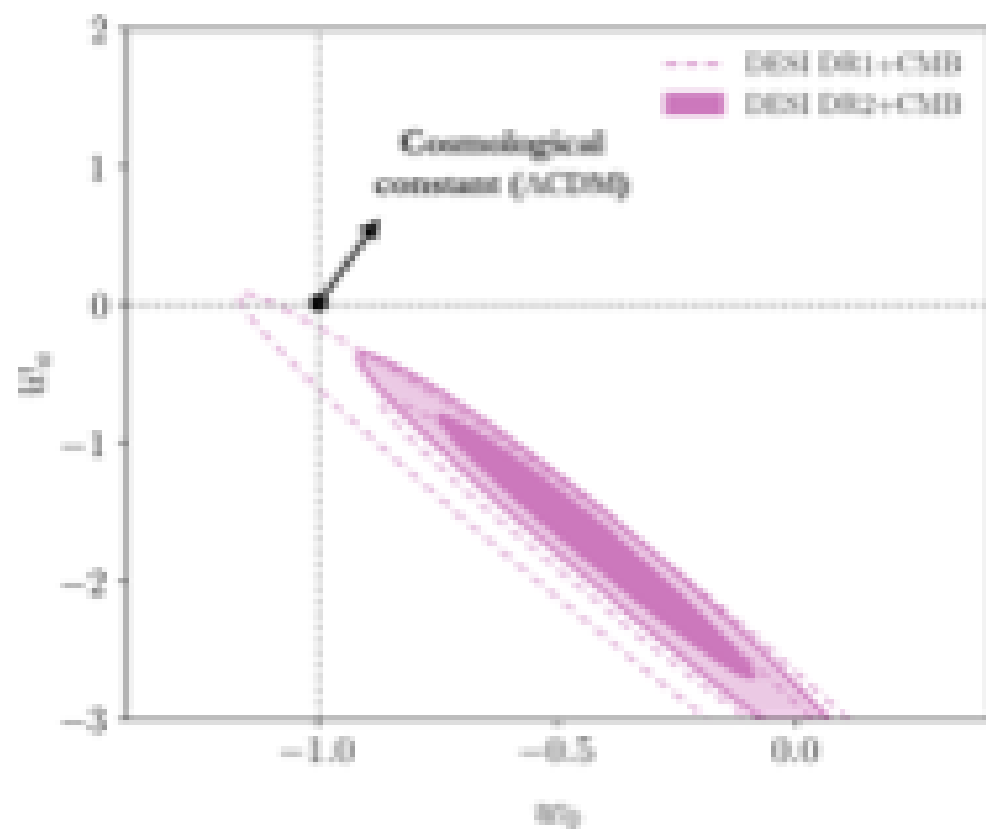


Can measure expansion history of universe

Dynamical dark energy

Combined with CMB data,
there is a clear preference for
 $w_0 > -1$ and $w_a < 0$.

The preference for $w_0 w_a$ CDM
from BAO + CMB increases
from 2.6σ (DR1) to 3.1σ (DR2).

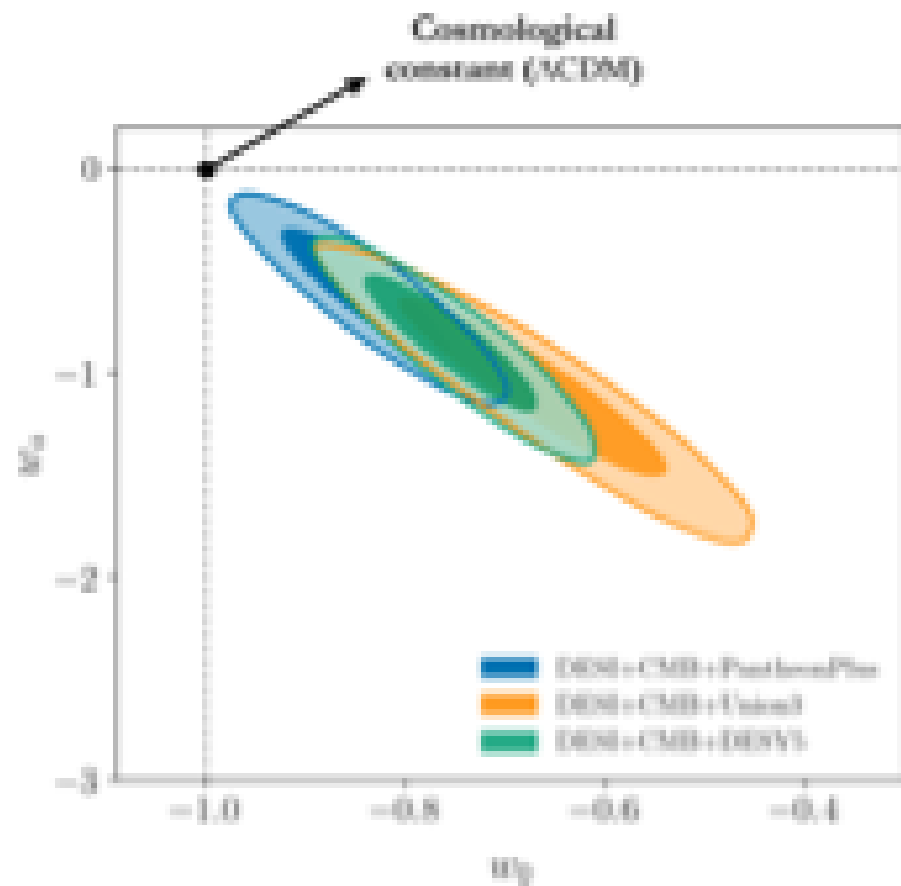


DESI collaboration: Adame+ '25

Dynamical dark energy

Significance of rejection of Λ CDM:

- ▶ DESI+CMB+Pantheon+: 2.8σ
- ▶ DESI+CMB+Union3: 3.8σ
- ▶ DESI+CMB+DESY5: 4.2σ



DESI collaboration: Adame+ '24

The BAO scale at various z → expansion history
→ depends on the sum of neutrino masses



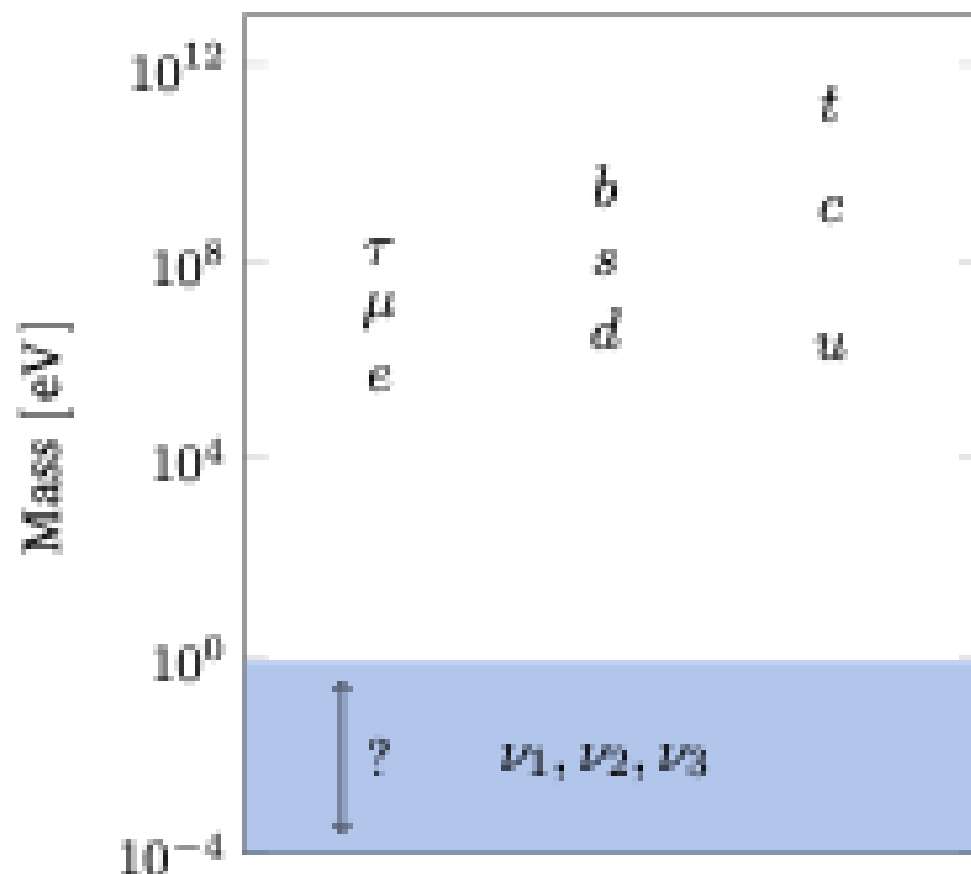
Standard Model Fermions

| ν_e | ν_μ | ν_τ |
|---------|-----------|------------|
| e | μ | τ |
| u | c | t |
| d | s | b |

Neutrino oscillations

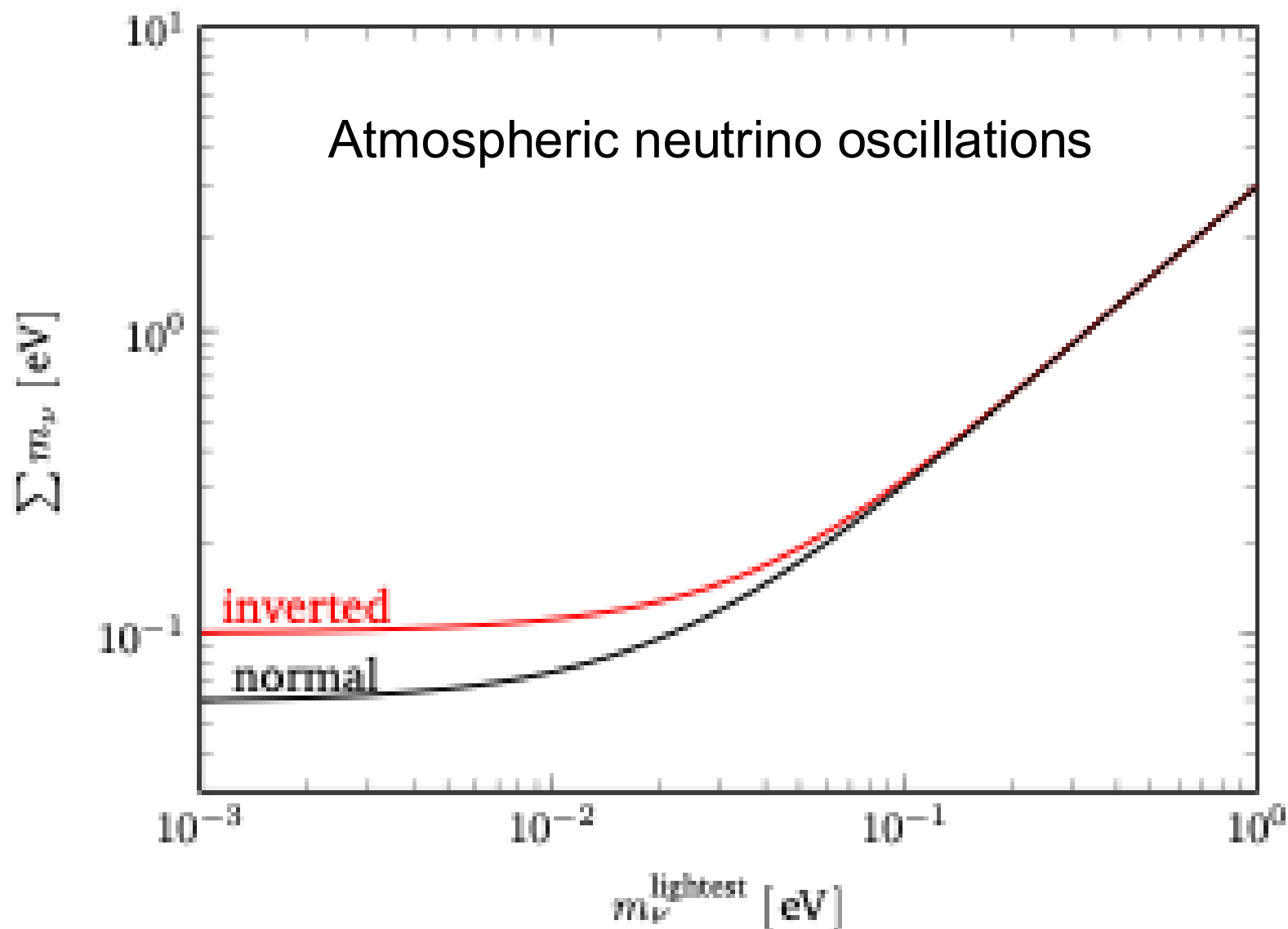
$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = U \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix},$$

which gives us Δm_{ij}^2 .





Neutrino Mass Constraints

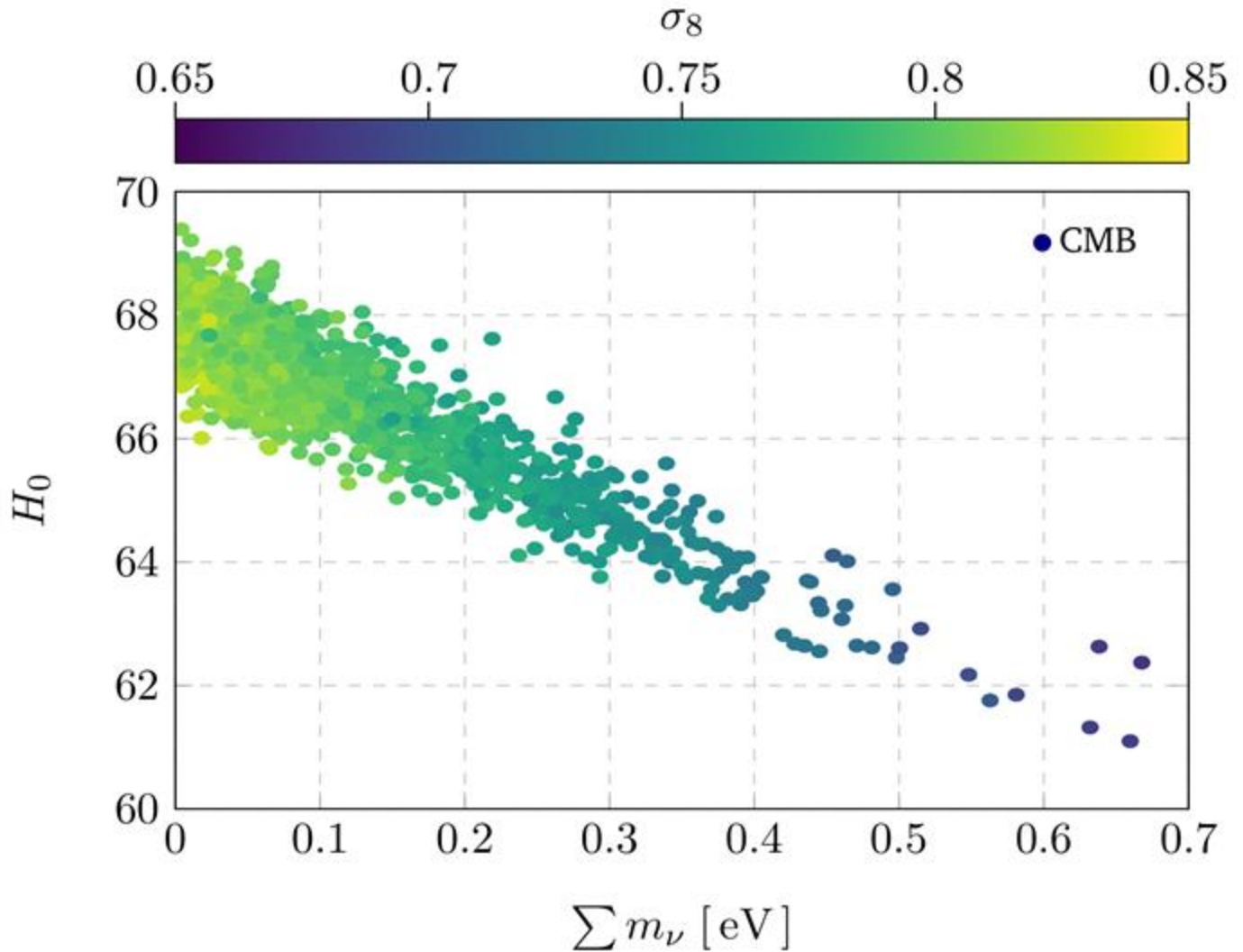


Constraints on sum of ν masses

From CMB:

assuming Λ CDM

$$\sum m_\nu < 0.21 \text{ eV}$$



Constraints on sum of ν masses

From CMB + DESI:

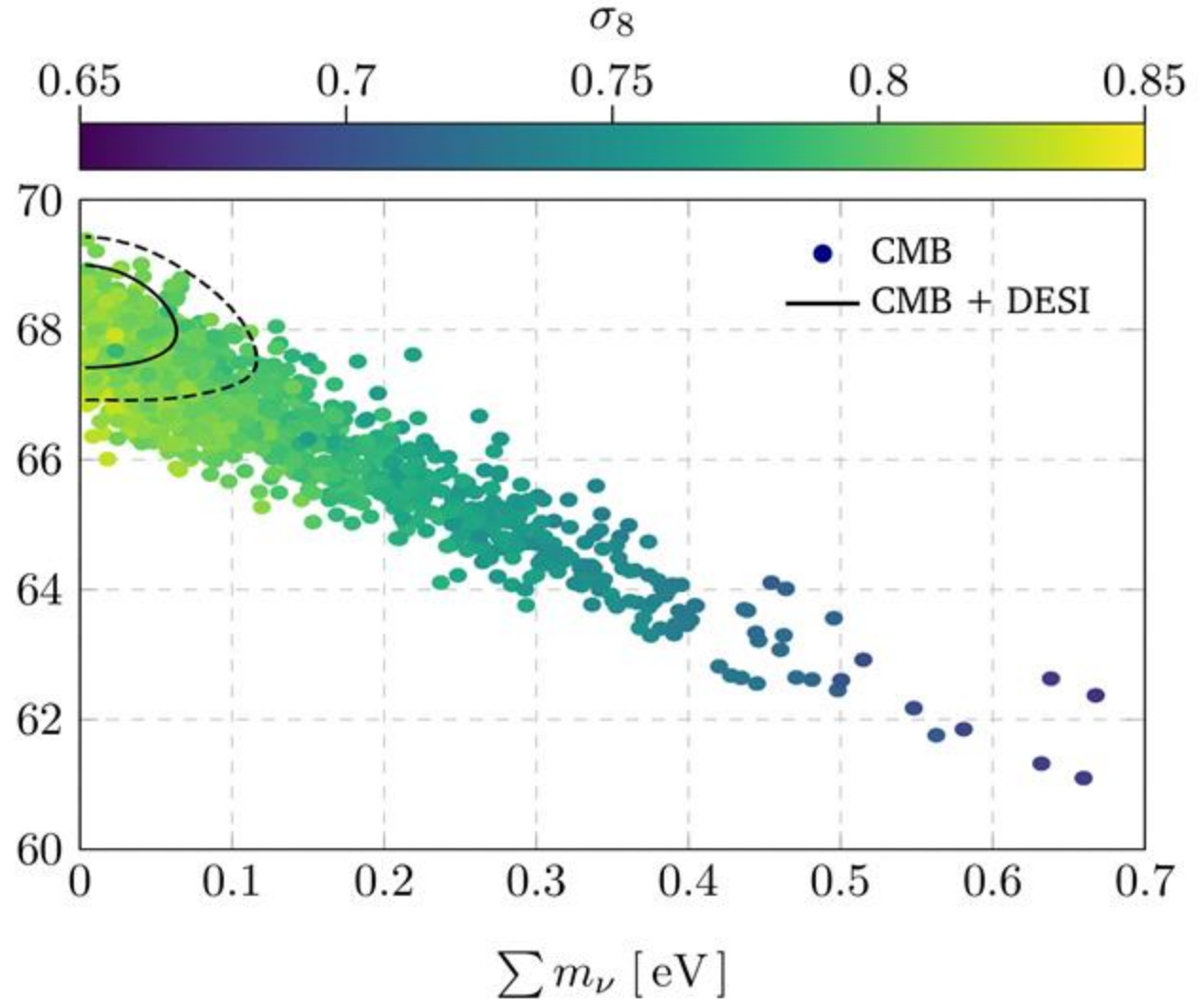
assuming Λ CDM

$$\sum m_\nu < 0.072 \text{ eV}$$

From atmospheric
 ν oscillations

H_0

$$\sum m_\nu > 0.060 \text{ eV}$$



Constraints on sum of ν masses

Posterior distribution of ν masses in Λ CDM

From CMB:

$$\sum m_\nu < 0.21 \text{ eV}$$

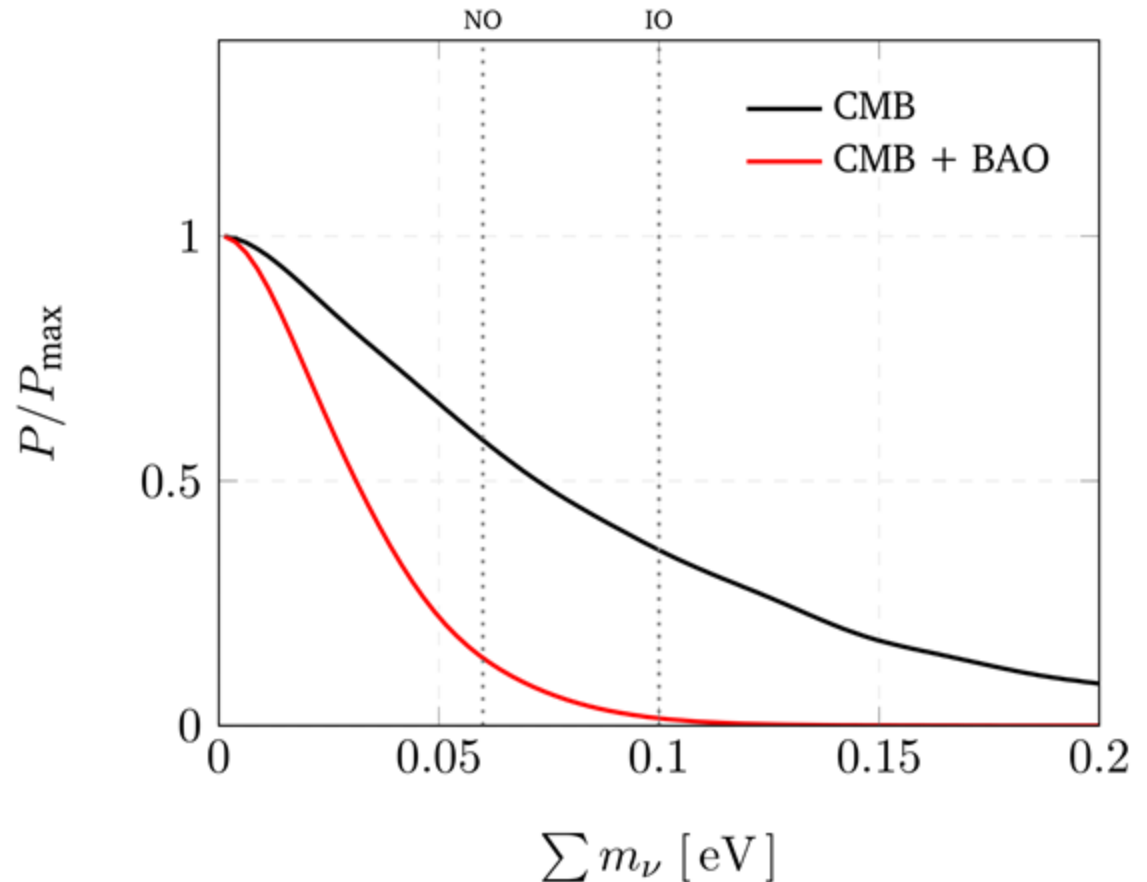
From CMB + DESI BAO:

$$\sum m_\nu < 0.072 \text{ eV}$$

(Both at 95%)

(Prior $\sum m_\nu > 0$)

Posterior distribution
peaks at 0



Constraints on sum of ν masses

Relax the prior: introduce an effective neutrino mass, $\Sigma m_{\nu, \text{eff}}$, that coincides with the physical Σm_{ν} for $\Sigma m_{\nu, \text{eff}} > 0$ and extend to negative values in data space

Elbers, CSF, Jenkins,
Li & Pascoli '24

Any evidence for negative values should be interpreted as a signature of unidentified systematic errors or possibly of new physics which may be unrelated to neutrinos

Constraints on sum of ν masses

Posterior distribution of ν masses

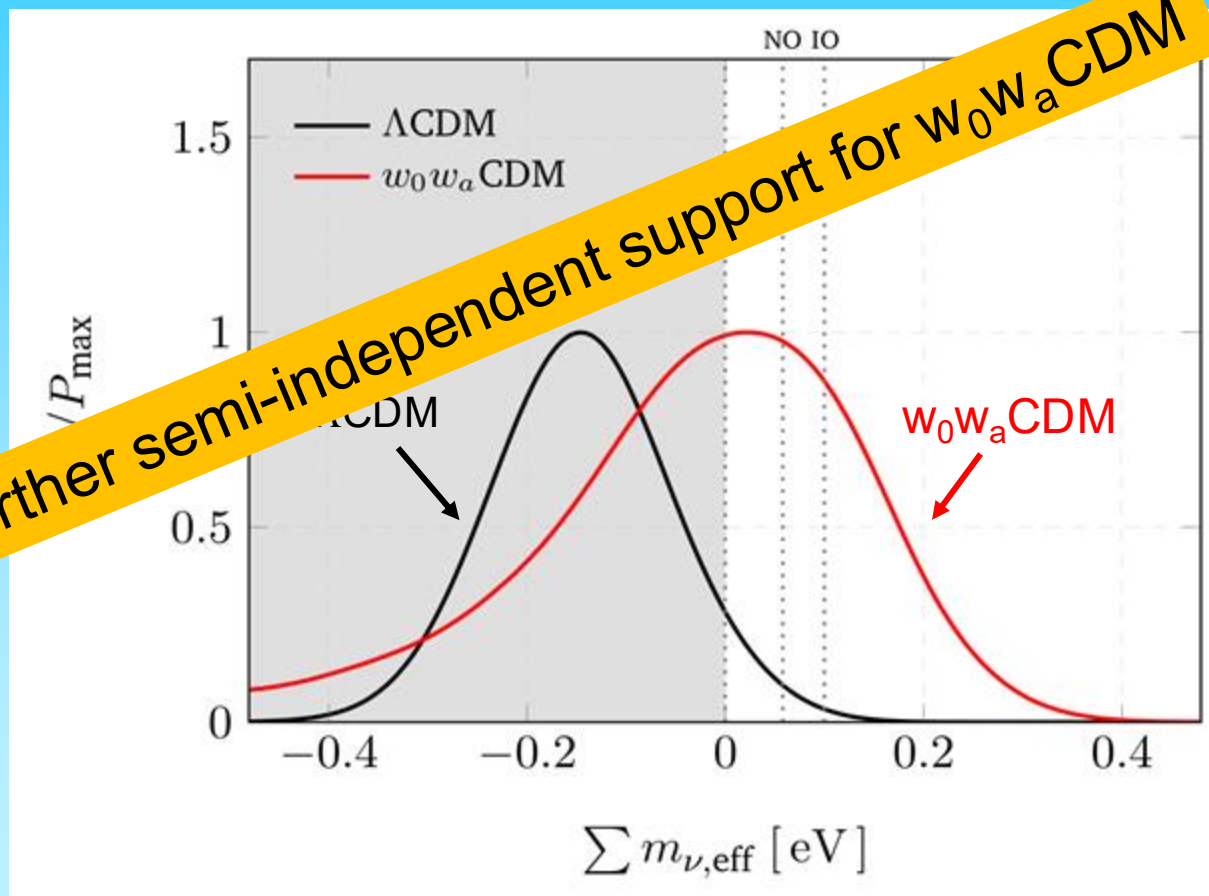
In Λ CDM, posterior peaks at $\Sigma m_{\nu, \text{eff}} < 0$

Inconsistent with ν oscillations at 2.6σ

In w_0w_a CDM posterior peaks at $\Sigma m_{\nu, \text{eff}} > 0$

In w_0w_a CDM, bound on physical neutrino mass $\Sigma m_{\nu} < 0.195$ eV

Consistent with ν oscillations



Elbers, CSF + '24

Observational tests of Λ CDM

Fundamental prediction of Λ CDM

→ Primordial **power spectrum** of density perturbations
+ random phases

Can test this in **two regimes**:

Linear regime: cosmic microwave background ($z \sim 1000$)
large-scale structure ($z \sim 3 - 0$)

Evolved non-linear regime:

Depends v. weakly on Λ ;
Test of CDM!

dark matter halos →

- abundance ($z \sim 15 - 0$)
- structure
- clustering

The small-scale “crisis” of Λ CDM

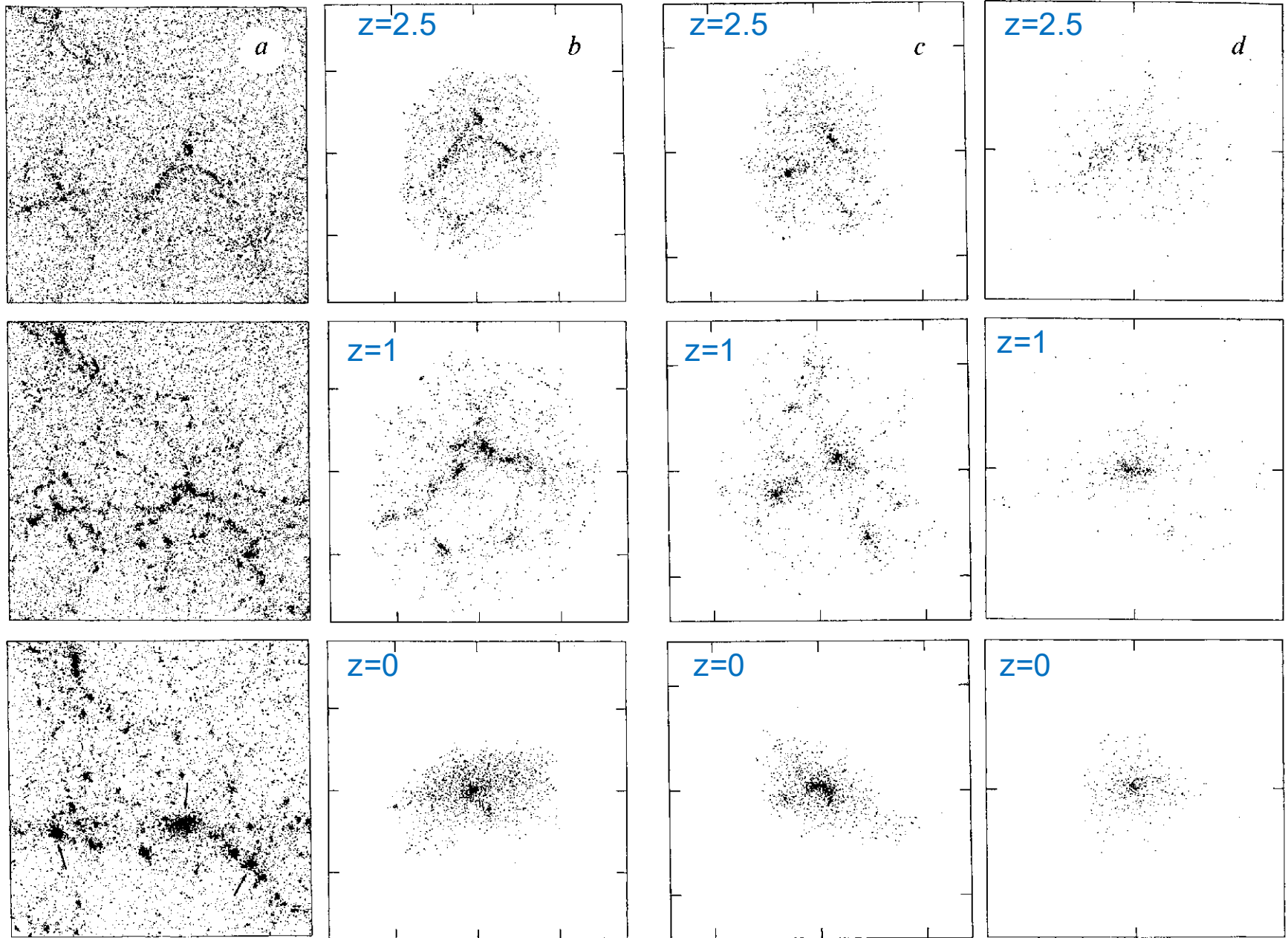
Four problems:

“Solved” in:

- | | | | |
|--------------------------|------|---|----------------------------|
| 1. “Missing satellites” | 2002 | } | baryon effects |
| 2. “Too-big-to-fail” | 2015 | | |
| 3. “Core-cusp” | 1996 | | |
| 4. “Plane of satellites” | 2023 | → | Cosmic web + statistics |

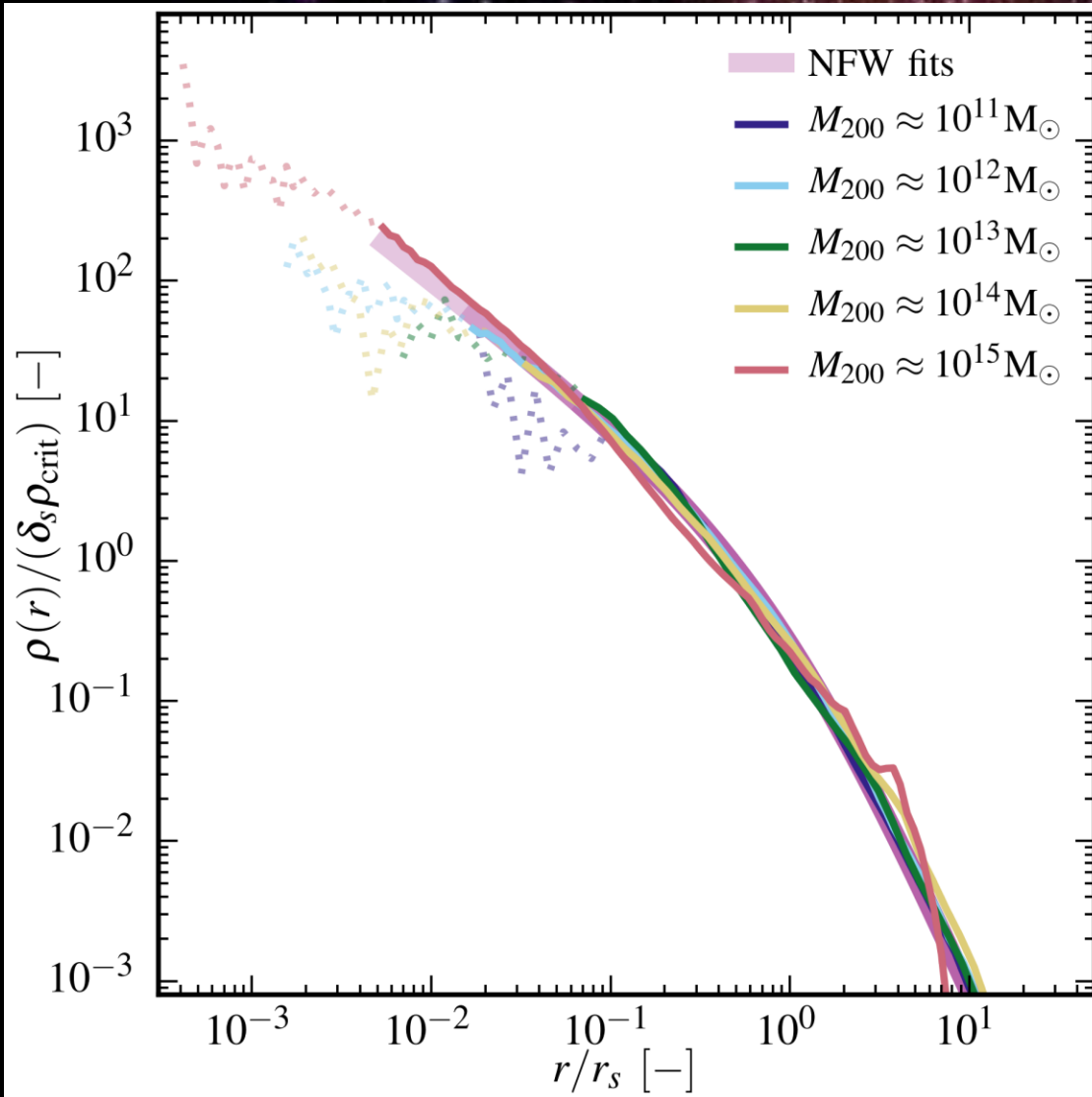
5. Galaxies at $z > 10$ discovered by JWST

Formation of CDM halos



The density profile of cold dark matter halos

Navarro, Frenk & White '96



Shape of halo profiles
~independent of halo mass &
cosmological parameters

Density profiles are “cuspy” -
no ‘core’ near the centre

Fitted by simple formula:

$$\frac{\rho(r)}{\rho_{\text{crit}}} = \frac{\delta_c}{(r/r_s)(1+r/r_s)^2}$$

(Navarro, Frenk & White '97)

More massive halos and
halos that form earlier have
higher densities (bigger δ)

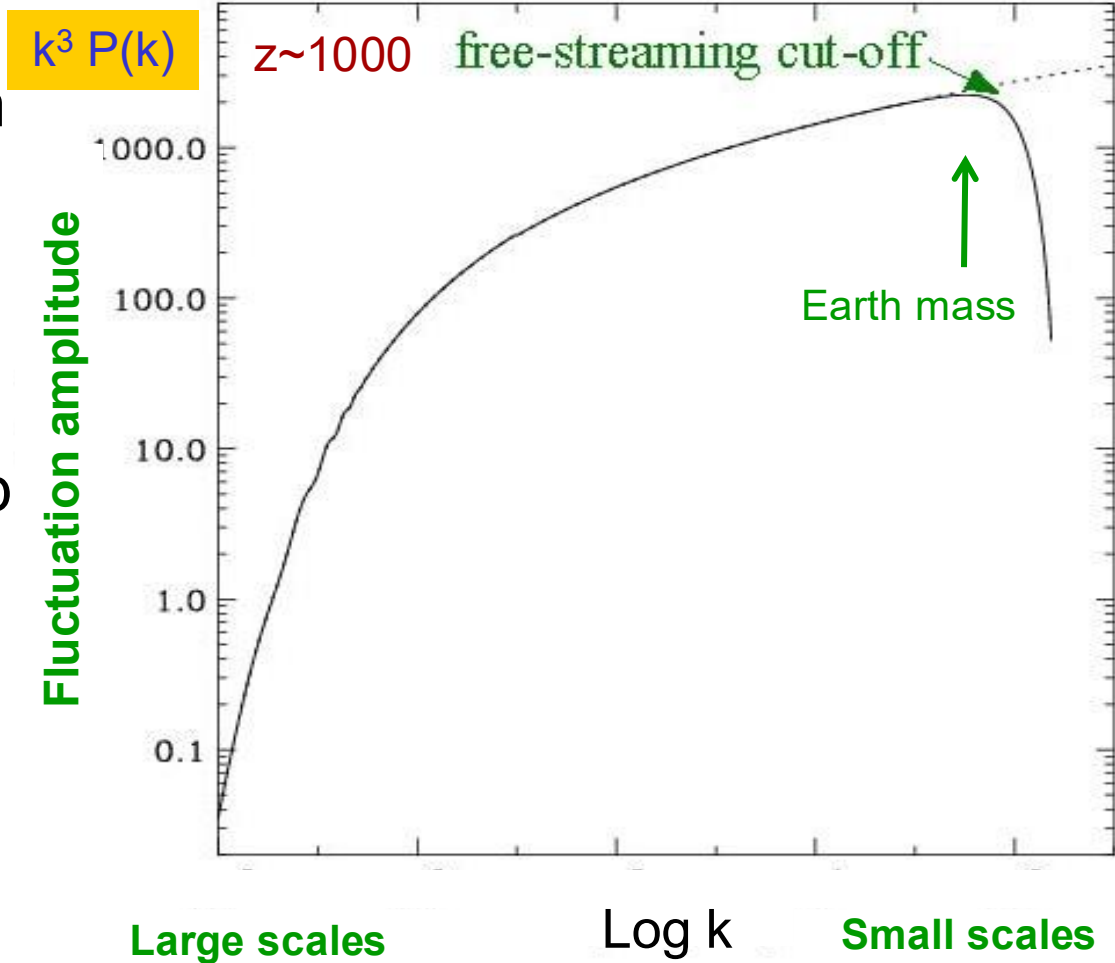
We now know:

- the internal structure of halos of all mass
- halo mass function down to cutoff mass

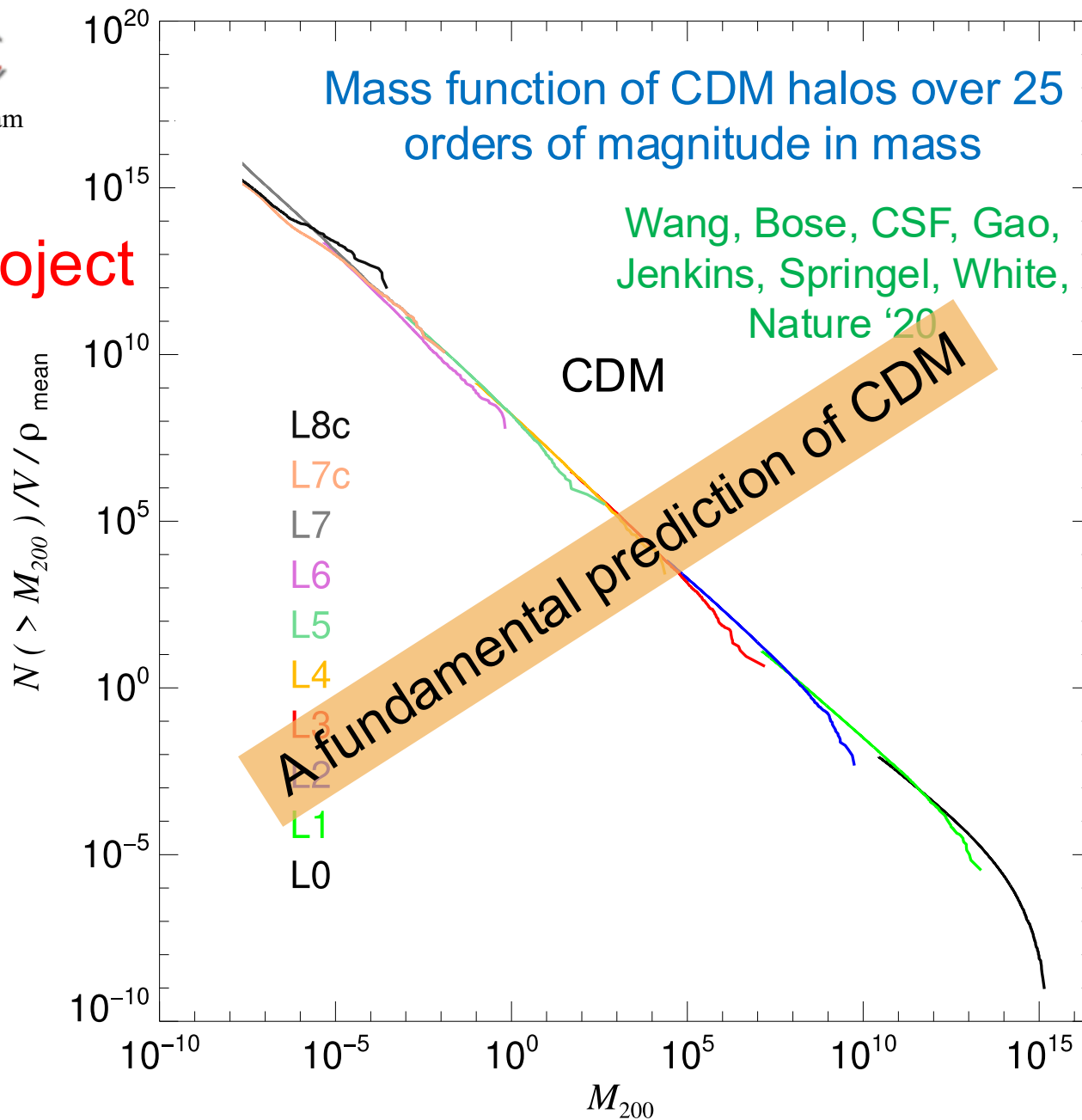
The cold dark matter power spectrum

The linear power spectrum
("power per octave")

Assumes a 100GeV wimp
Green et al '04



The VVV project



A galaxy formation primer

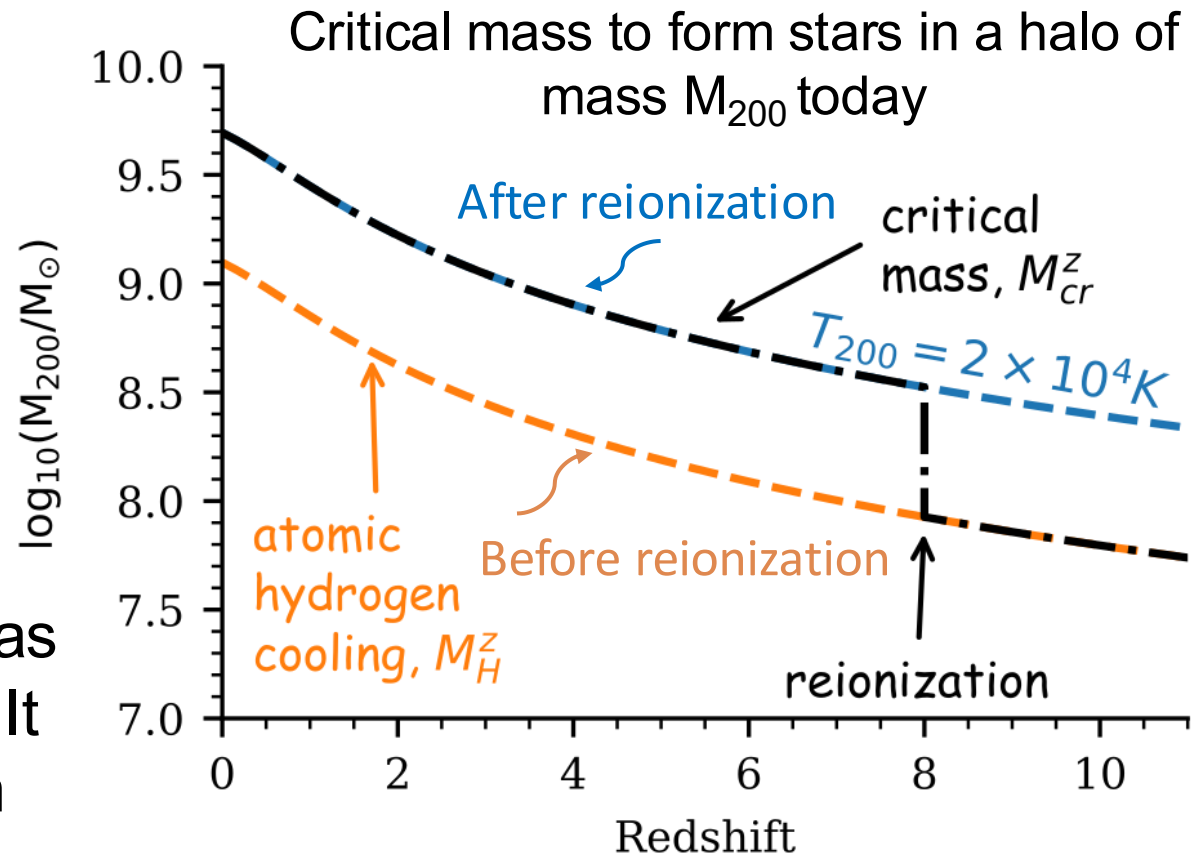
In which halos do galaxy form?

1. Before reionization, stars can only form if atomic H cooling is effective: $\rightarrow T > 7000 \text{ K}$

$$M_H^z \sim (4 \times 10^7 M_\odot) \left(\frac{1+z}{11} \right)^{-3/2}$$

2. After H reionization, gas is heated to $T = 2 \times 10^4 \text{ K}$. It can only cool and form stars in halos with:

$$T_{\text{vir}} > T_{\text{IGM}} = 2 \times 10^4 \text{ K}$$



Benitez-Llambay & CSF '20

Institute for Computational Cosmology

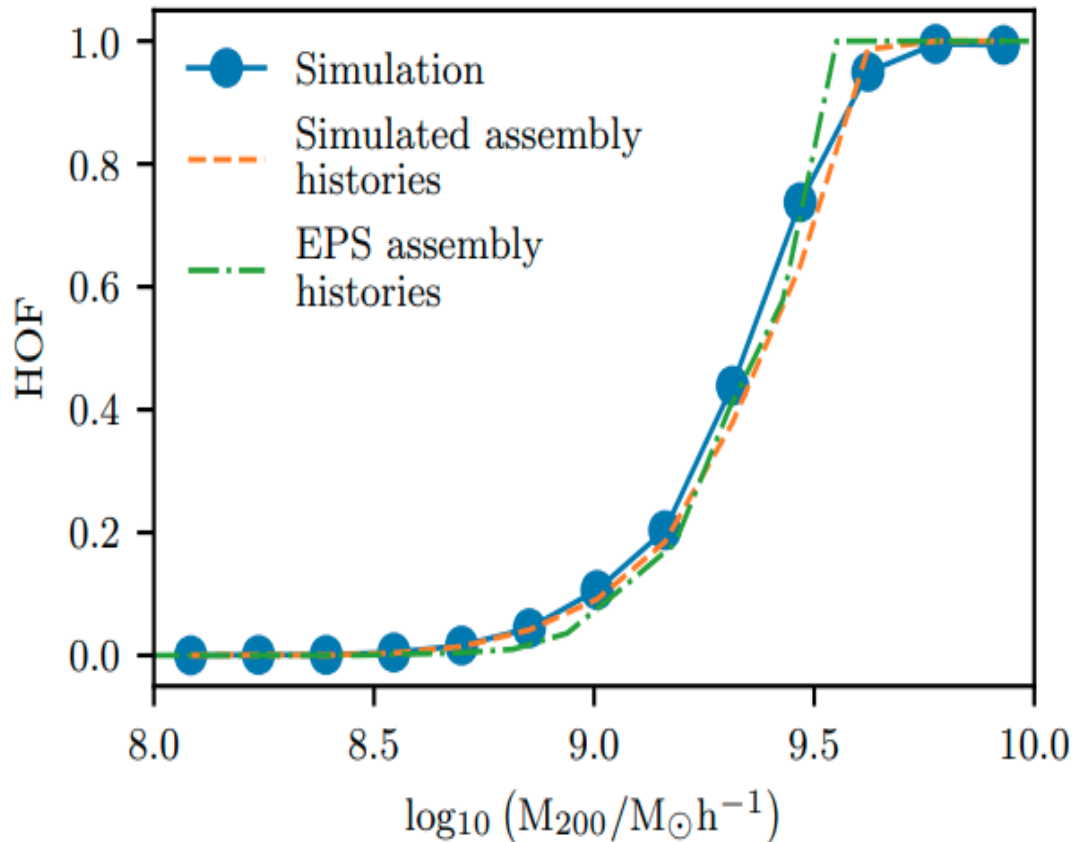
See also Efstathiou '82, Thoul & Weinberg '95

A galaxy formation primer

Halo Occupation Fraction (HOF): fraction of halos of a given mass today that host a galaxy

Benitez-Llambay & CSF '20

fraction of halos that host a galaxy



$M > 3 \times 10^9 M_{\odot}$
→ visible

$M < 3 \times 10^8 M_{\odot}$
→ dark

CDM

DM-only CDM simulations predict many more subhalos in the Milky Way than there are observed satellites

“Missing satellites” problem

Most subhalos never make a galaxy!

The small-scale “crisis” of Λ CDM

Four problems:

“Solved” in:

- | | | | |
|--------------------------|------|---|----------------------------|
| 1. “Missing satellites” | 2002 | } | baryon effects |
| 2. “Too-big-to-fail” | 2015 | | |
| 3. “Core-cusp” | 1996 | | |
| 4. “Plane of satellites” | 2023 | → | Cosmic web + statistics |

5. Galaxies at $z > 10$ discovered by JWST

JWST galaxies at $z = 8 - 15$

The Complete CEERS Early Universe Galaxy Sample: A Surprisingly Slow Evolution of the Space Density of Bright Galaxies at $z \sim 8.5-14.5$

STEVEN L. FINKELSTEIN,¹ GENE C. K. LEUNG,² MICAELA B. BAGLEY,¹ MARK DICKINSON,³ HENRY C. FERGUSON,⁴
CASEY PAPOVICH,^{5,6} HOLLIS B. AKINS,⁷ PABLO ARRABAL HARO,³ ROMEEL DAVÉ,^{8,9} AVISHAI DEKEL,¹⁰
JEYHAN S. KARTALTEPE,¹¹ DALE D. KOCEVSKI,¹² ANTON M. KOEKEMOER,¹³ NOR PIRZKAL,¹⁴ RACHEL S. SOMERVILLE,¹⁵
L. Y. AARON YUNG,^{16,13,*} RICARDO O. AMORÍN,^{17,18} BREN E. BACKHAUS,¹⁹ PETER BEHROOZI,^{20,21} LAURA BISIGELLO,^{22,23}
VOLKER BROMM,¹ CAITLIN M. CASEY,¹ ÓSCAR A. CHÁVEZ ORTIZ,¹ YINGJIE CHENG,²⁴ KATHERINE CHWOROWSKY,^{1,†}
NIKKO J. CLERI,^{5,6} M. C. COOPER,²⁵ KELCEY DAVIS,¹⁹ ALEXANDER DE LA VEGA,²⁶ DAVID ELBAZ,²⁷ MAXIMILIEN FRANCO,¹
ADRIANO FONTANA,²⁸ SEIJI FUJIMOTO,^{29,30} MAURO GIAVALISCO,²⁴ NORMAN A. GROGIN,⁴ BENNE W. HOLWERDA,³¹
MARC HUERTAS-COMPANY,^{32,33,34} MICHAELA HIRSCHMANN,³⁵ KARTHEIK G. IYER,³⁶ SHARDHA JOGEE,¹ INTAE JUNG,³⁷
REBECCA L. LARSON,^{38,39} RAY A. LUCAS,⁴⁰ BAHRAM MOBASHER,²⁶ ALEXA M. MORALES,⁴¹ CAROLINE V. MORLEY,⁴²
SAGNICK MUKHERJEE,⁴³ PABLO G. PÉREZ-GONZÁLEZ,⁴⁴ SWARA RAVINDRANATH,^{45,46} GIULIA RODIGHIERO,^{47,48}
MELANIE J. ROWLAND,⁴⁹ SANDRO TACCHIELLA,^{50,51} ANTHONY J. TAYLOR,¹ JONATHAN R. TRUMP,¹⁹ AND
STEPHEN M. WILKINS^{52,53}

ABSTRACT

We present a sample of 88 candidate $z \sim 8.5-14.5$ galaxies selected from the completed NIRC*am* imaging from the Cosmic Evolution Early Release Science (CEERS) survey. These data cover ~ 90 arcmin² (10 NIRC*am* pointings) in six broad-band and one medium-band imaging filter. With this sample we confirm at higher confidence early *JWST* conclusions that bright galaxies in this epoch are more abundant than predicted by most theoretical models. We construct the rest-frame ultraviolet

JWST galaxies at $z = 8 - 15$

CEERS Key Paper I:

An Early Look into the First 500 Myr of Galaxy Formation with *JWST*

STEVEN L. FINKELSTEIN,¹ MICAELA B. BAGLEY,¹ HENRY C. FERGUSON,² STEPHEN M. WILKINS,^{3,4}
 JEYHAN S. KARTALTEPE,⁵ CASEY PAPOVICH,^{6,7} L. Y. AARON YUNG,^{8,*} PABLO ARRABAL HARO,⁹ PETER BEHROOZI,^{10,11}
 MARK DICKINSON,⁹ DALE D. KOCEVSKI,¹² ANTON M. KOEKEMOER,¹³ REBECCA L. LARSON,^{14,1} AURÉLIEN LE BAIL,¹⁵
 ALEXA M. MORALES,¹ PABLO G. PÉREZ-GONZÁLEZ,¹⁶ DENIS BURGARELLA,¹⁷ ROMEEL DAVÉ,^{18,19}
 MICHAELA HIRSCHMANN,^{20,21} RACHEL S. SOMERVILLE,²² STIJN WUYTS,²³ VOLKER BROMM,¹ CAITLIN M. CASEY,¹
 ADRIANO FONTANA,²⁴ SEIJI FUJIMOTO,^{1,25,26,†} JONATHAN P. GARDNER,²⁷ MAURO GIAVALISCO,²⁸ ANDREA GRAZIAN,²⁹
 NORMAN A. GROGIN,² NIMISH P. HATHI,² TAYLOR A. HUTCHISON,^{8,*} SAURABH W. JHA,³⁰ SHARDHA JOGEE,¹
 LISA J. KEWLEY,³¹ ALLISON KIRKPATRICK,³² ARIANNA S. LONG,^{1,†} JENNIFER M. LOTZ,³³ LAURA PENTERICCI,²⁴

ABSTRACT

We present an investigation into the first 500 Myr of galaxy evolution from the Cosmic Evolution Early Release Science (CEERS) survey. CEERS, one of 13 *JWST* ERS programs, targets galaxy formation $z \sim 0.5$ to $z > 10$ using several imaging and spectroscopic modes. We make use of the first epoch of CEERS NIRCам imaging, spanning 35.5 sq. arcmin, to search for candidate galaxies at $z > 9$. Following a detailed data reduction process implementing several custom steps to produce high-quality reduced images, we perform multi-band photometry across seven NIRCам broad and medium-band (and six *Hubble* broadband) filters focusing on robust colors and accurate total fluxes. We measure photometric redshifts and devise a robust set of selection criteria to identify a sample of 26 galaxy candidates at $z \sim 9-16$. These objects are compact with a median half-light radius of ~ 0.5 kpc. We present an early estimate of the $z \sim 11$ rest-frame ultraviolet (UV) luminosity function, finding that the number density of galaxies at $M_{UV} \sim -20$ appears to evolve very little from $z \sim 9$ to $z \sim 11$. We also find that the abundance (surface density [arcmin⁻²]) of our candidates exceeds nearly all theoretical predictions. We explore potential implications, including that at $z > 10$ star formation may

JWST galaxies at $z = 8 - 15$

A population of red candidate massive galaxies ~ 600 Myr after the Big Bang

Ivo Labbé¹, Pieter van Dokkum², Erica Nelson³, Rachel Bezanson⁴, Katherine A. Suess^{5,6}, Joel Leja^{7,8,9}, Gabriel Brammer¹⁰, Katherine Whitaker^{10,11}, Elijah Mathews^{7,8,9}, Mauro Stefanon^{12,13}, Bingjie Wang^{7,8,9}

Galaxies with stellar masses as high as $\sim 10^{11}$ solar masses have been identified^{1–3} out to redshifts $z \sim 6$, approximately one billion years after the Big Bang. It has been difficult to find massive galaxies at even earlier times, as the Balmer break region, which is needed for accurate mass estimates, is redshifted to wavelengths beyond $2.5 \mu\text{m}$. Here we make use of the $1\text{--}5 \mu\text{m}$ coverage of the *JWST* early release observations to search for intrinsically red galaxies in the first ≈ 750 million years of cosmic history. In the survey area, we find six candidate massive galaxies (stellar mass $> 10^{10}$ solar masses) at $7.4 \leq z \leq 9.1$, 500–700 Myr after the Big Bang, including one galaxy with a possible stellar mass of $\sim 10^{11}$ solar masses. If verified with spectroscopy, the stellar mass density in massive galaxies would be much higher than anticipated from previous studies based on rest-frame ultraviolet-selected samples.

issue is that these stellar mass densities are difficult to realize in a standard LCDM cosmology, as pointed out by several recent studies.^{31,32} Our fiducial mass densities push against the limit set by the number of available baryons in the most massive dark matter halos.

Galaxies at $z = 6 - 8$

THE IMPOSSIBLY EARLY GALAXY PROBLEM

CHARLES. L. STEINHARDT^{1,2}, PETER CAPAK^{1,2}, DAN MASTERS^{1,2}, JOSH S. SPEAGLE^{3,2,4}

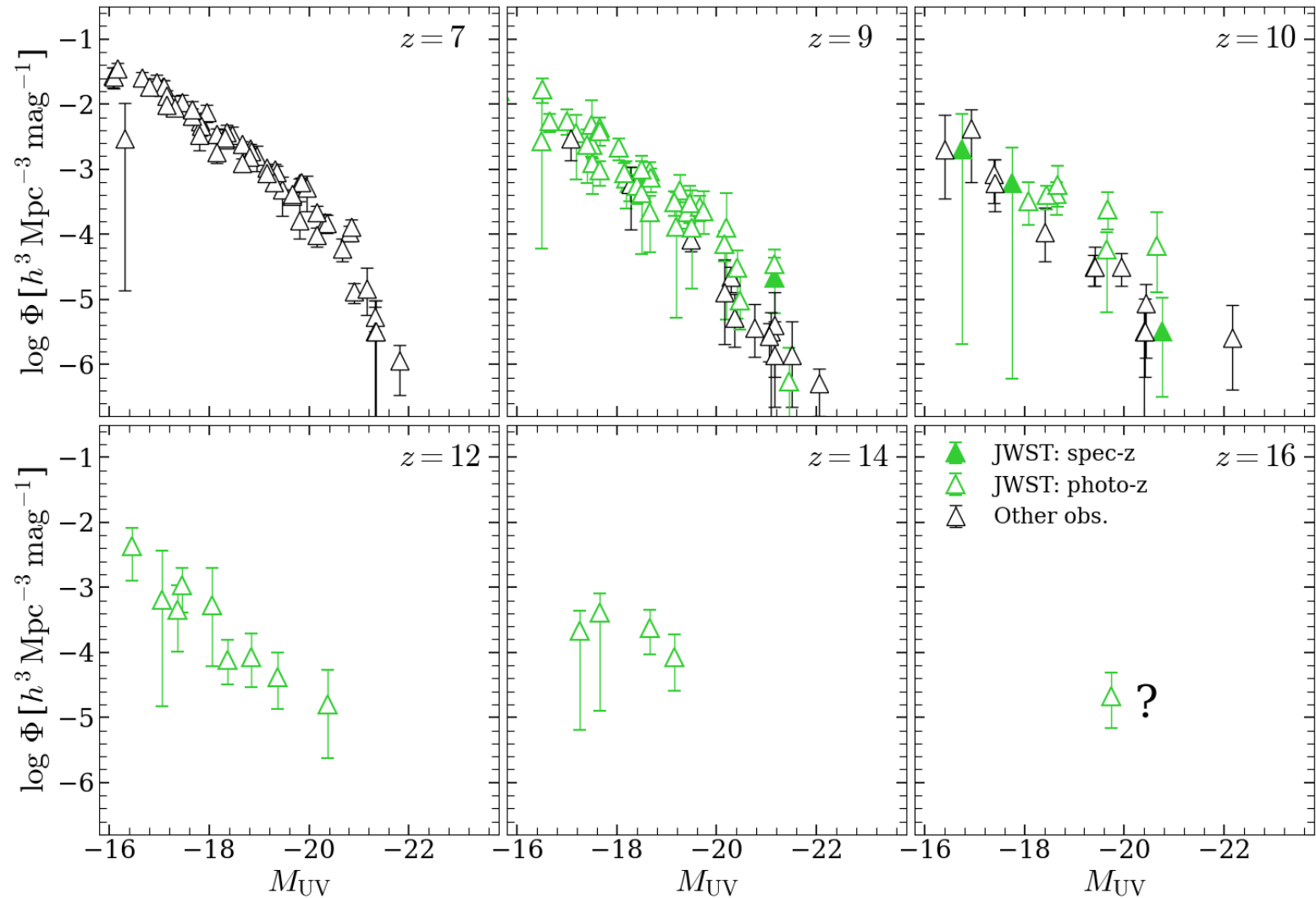
Draft version June 12, 2018

ABSTRACT

The current hierarchical merging paradigm and Λ CDM predict that the $z \sim 4 - 8$ universe should be a time in which the most massive galaxies are transitioning from their initial halo assembly to the later baryonic evolution seen in star-forming galaxies and quasars. However, no evidence of this transition has been found in many high redshift galaxy surveys including CFHTLS, CANDELS and SPLASH, the first studies to probe the high-mass end at these redshifts. Indeed, if halo mass to stellar mass ratios estimated at lower-redshift continue to $z \sim 6 - 8$, CANDELS and SPLASH report several orders of magnitude more $M \sim 10^{12-13} M_{\odot}$ halos than are possible to have formed by those redshifts, implying these massive galaxies formed impossibly early. We consider various systematics in the stellar synthesis models used to estimate physical parameters and possible galaxy formation scenarios in an effort to reconcile observation with theory. Although known uncertainties can greatly reduce the disparity between recent observations and cold dark matter merger simulations, even taking the most conservative view of the observations, there remains considerable tension with current theory.

Subject headings: galaxies: evolution

JWST galaxies at $z=7-16$



2018

Predictions for deep galaxy surveys with *JWST* from Λ CDM

William I. Cowley,^{1,2★} Carlton M. Baugh,¹ Shaun Cole,¹ Carlos S. Frenk¹
and Cedric G. Lacey¹

¹*Institute for Computational Cosmology, Department of Physics, University of Durham, South Road, Durham DH1 3LE, UK*

²*Kapteyn Astronomical Institute, University of Groningen, PO Box 800, NL-9700 AV Groningen, the Netherlands*

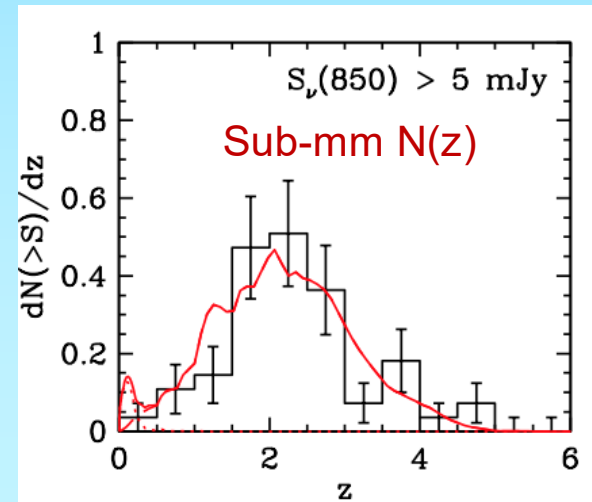
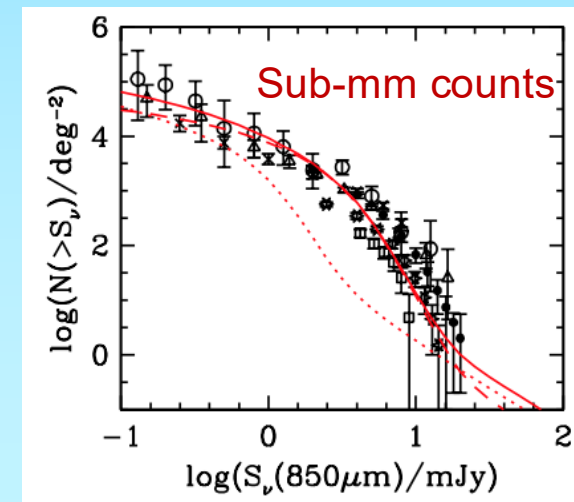
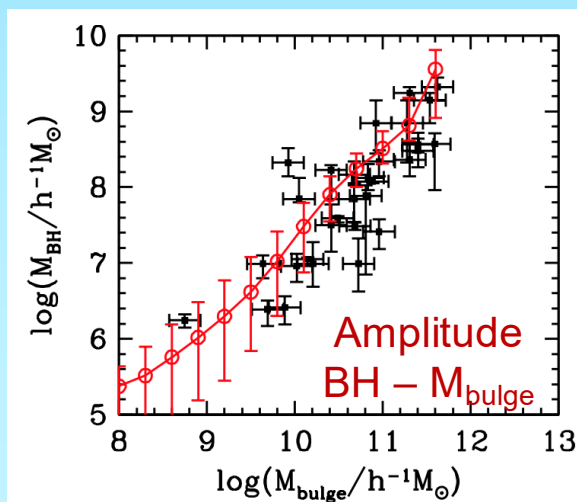
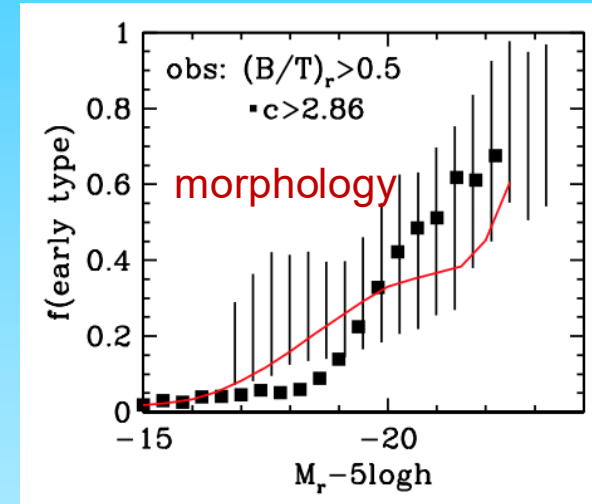
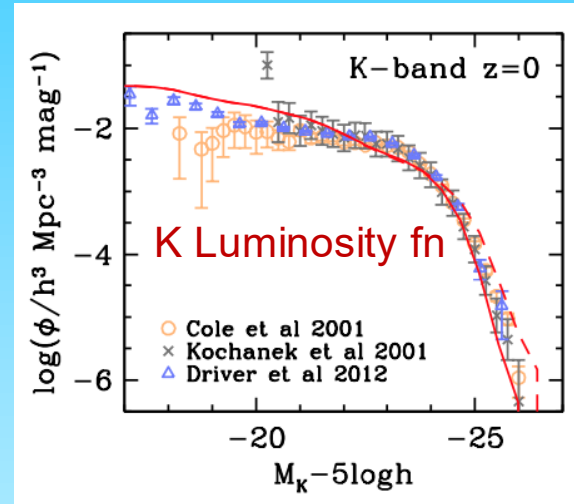
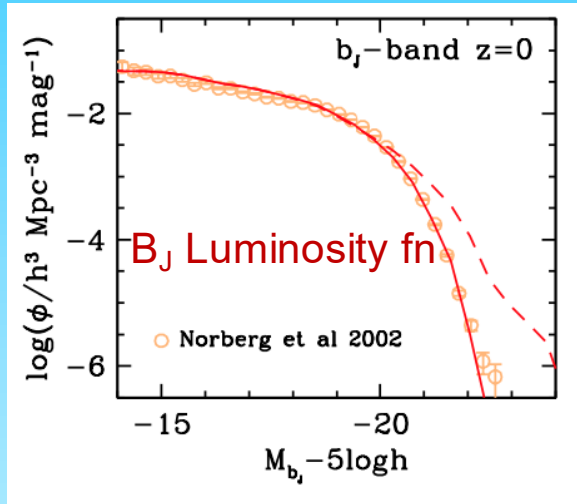
GALFORM applied to Millennium XXL N-body simulation

→ Has enough resolution and volume!

Galform calibration

Philosophy (since 1994) → fix model parameters
by matching subset of $z=0$ basic data

Cole et al '00
Lacey et al '16



Monthly Notices of the Royal Astronomical Society

Can the faint submillimetre galaxies be explained in the Λ cold dark matter model? FREE 2005

C. M. Baugh ✉, C. G. Lacey, C. S. Frenk, G. L. Granato, L. Silva, A. Bressan, A. J. Benson, S. Cole

Abstract

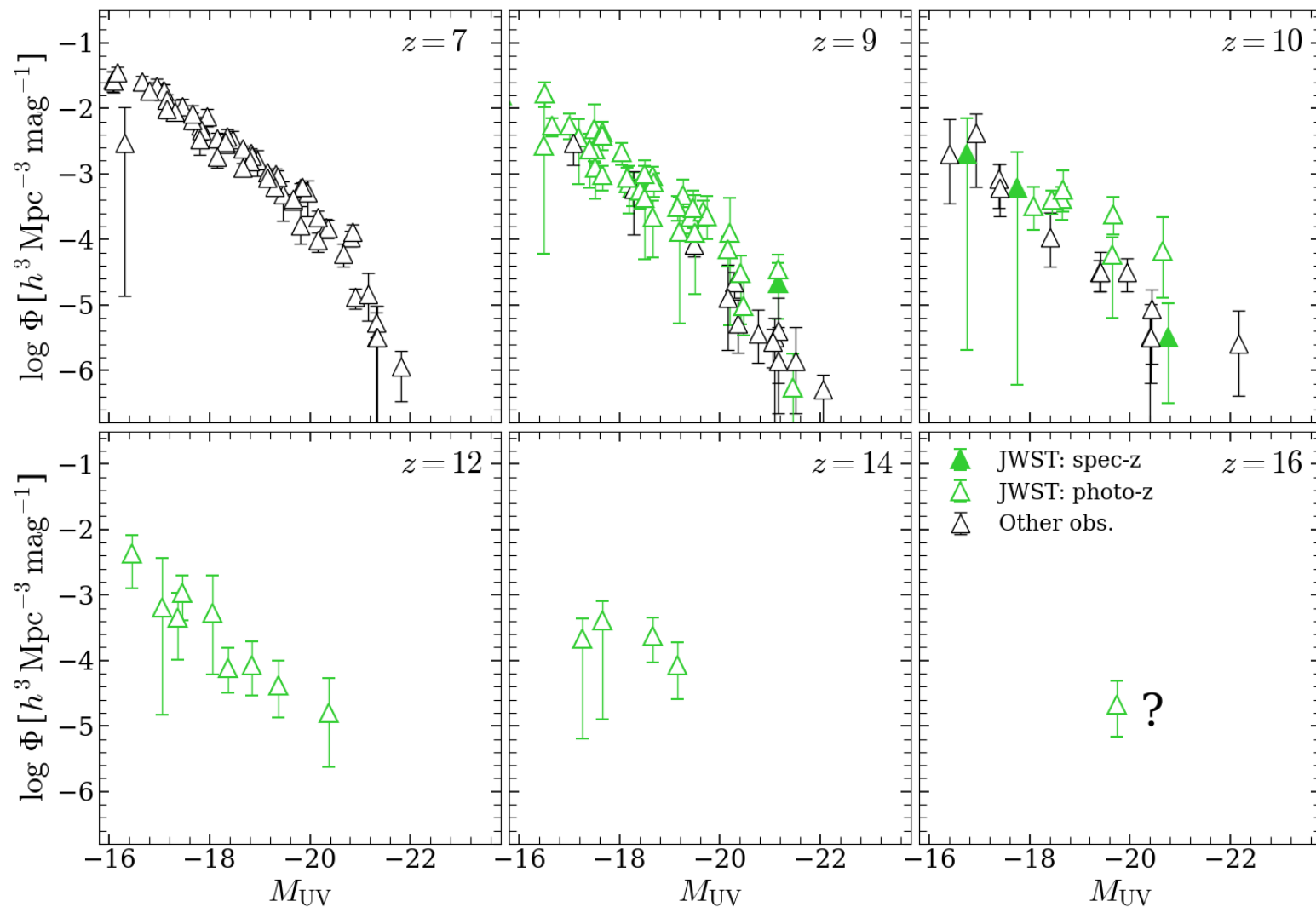
We present predictions for the abundance of submillimetre galaxies (SMGs) and Lyman-break galaxies (LBGs) in the Λ cold dark matter cosmology. A key feature of our model is the self-consistent calculation of the absorption and emission of radiation by dust. The new model successfully matches the LBG luminosity function, as well as reproducing the properties of the local galaxy population in the optical and infrared. The model can also explain the observed galaxy number counts at $850\ \mu\text{m}$, but only if we assume a top-heavy initial mass function for the stars formed in bursts. The predicted redshift distribution of SMGs depends relatively little on their flux over the range $1\text{--}10\ \text{mJy}$, with a median value of $z \approx 2.0$ at a flux of $5\ \text{mJy}$, in good agreement with the recent measurement by Chapman et al. The counts of SMGs are predicted to be dominated by ongoing starbursts. However, in the model these bursts are responsible for making only a few per cent of the stellar mass locked up in massive ellipticals at the present day.

“The model can also explain the observed galaxy number counts at $850\ \mu\text{m}$, but only if we assume a top-heavy initial mass function for the stars formed in bursts”

Baugh et al. 05

(Only small fraction of today's stars form with top-heavy IMF)

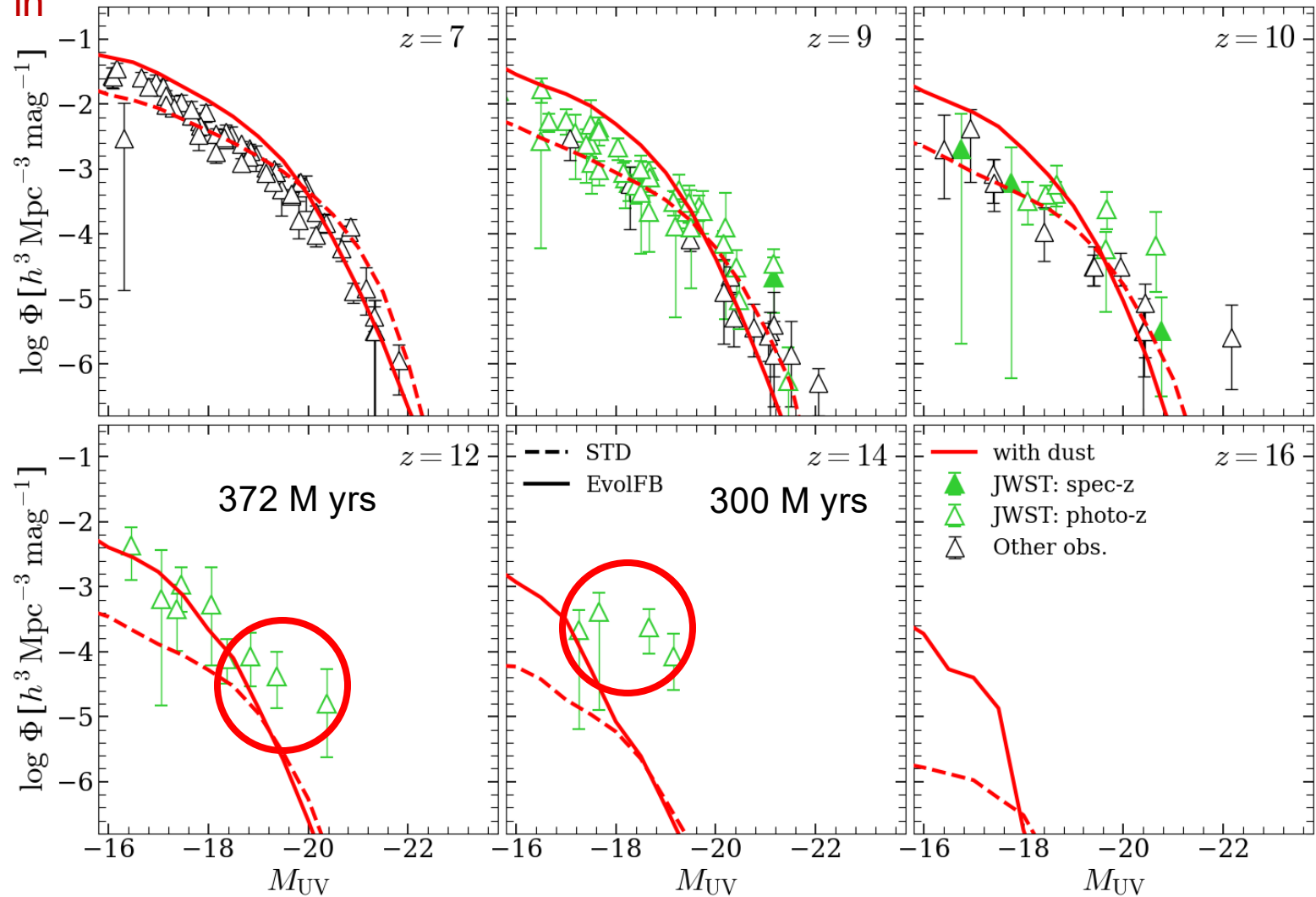
JWST galaxies at $z=7-16$



JWST galaxies at $z=7-16$

Key – top
heavy IMF in
bursts

Theoretical predictions precede data (Cowley + '18)

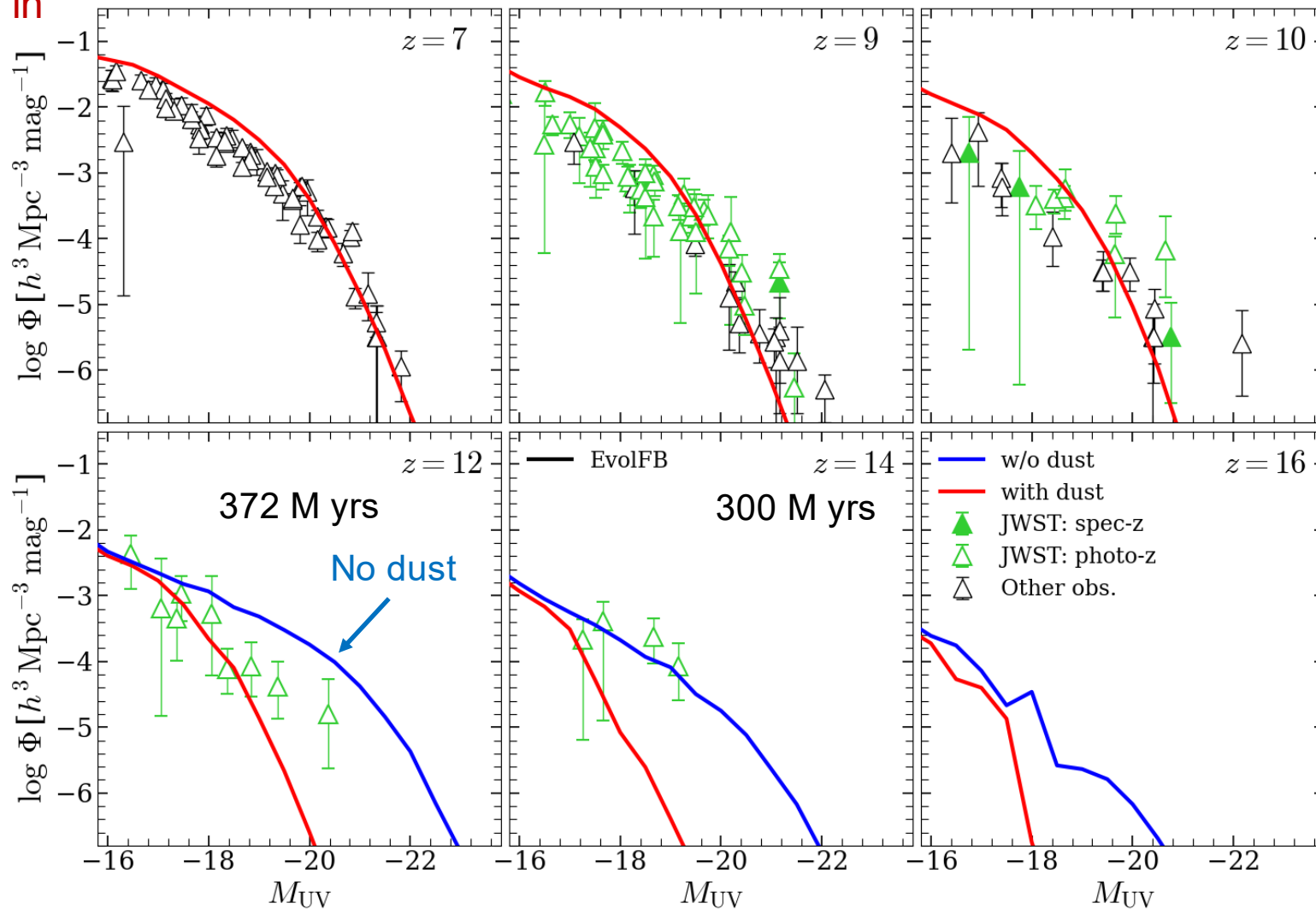


Shengdong Lu, CSF + 24

JWST galaxies at $z=7-16$

Key – top
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Theoretical predictions precede data (Cowley + '18)

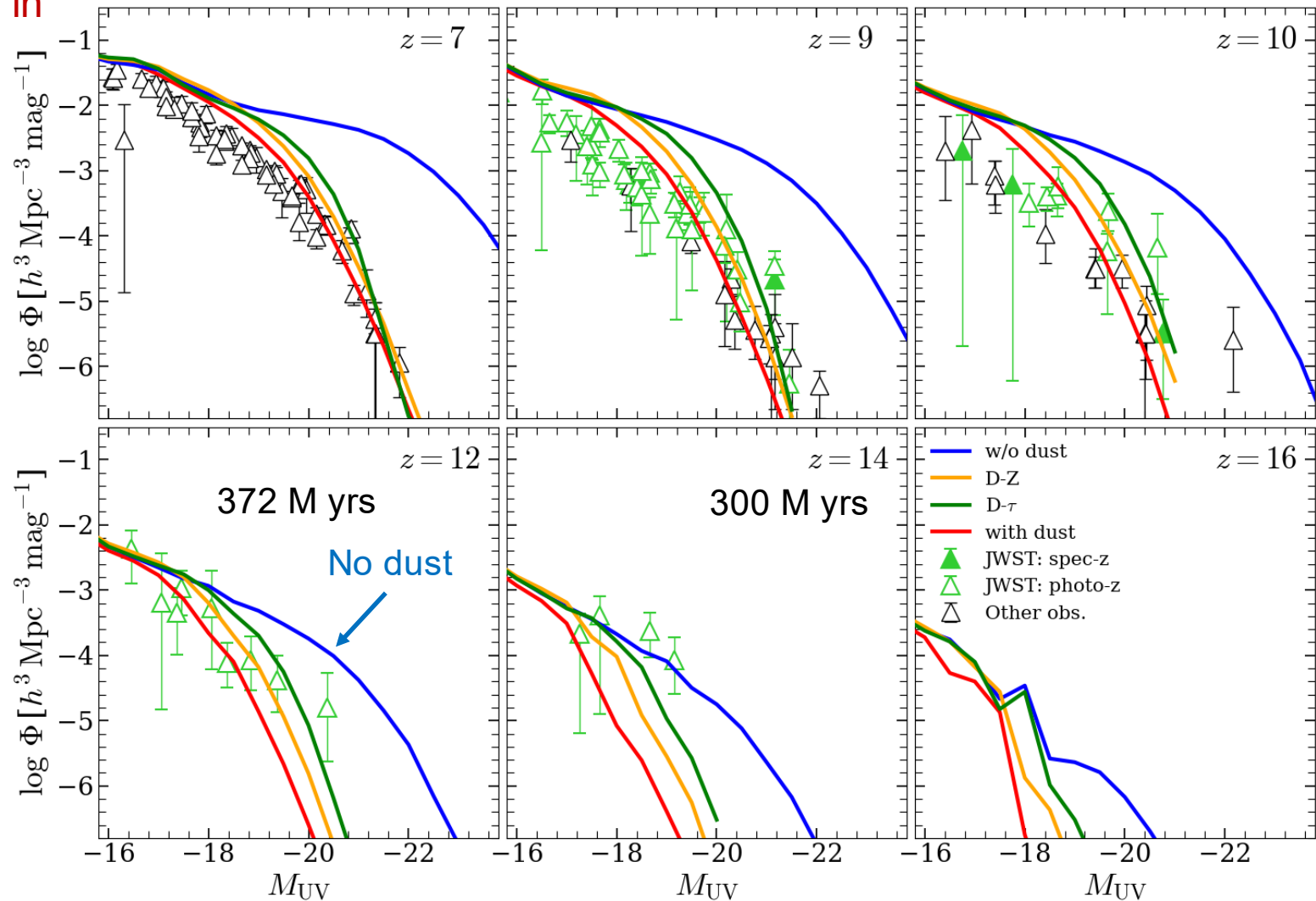


Shengdong Lu, CSF + 24

JWST galaxies at $z=7-16$

Key – top
heavy IMF in
bursts

Theoretical predictions precede data (Cowley + '18)



Shengdong Lu, CSF + 24

The small-scale “crisis” of Λ CDM

Four problems:

“Solved” in:

- | | | | |
|--------------------------|------|---|----------------------------|
| 1. “Missing satellites” | 2002 | } | baryon effects |
| 2. “Too-big-to-fail” | 2015 | | |
| 3. “Core-cusp” | 1996 | | |
| 4. “Plane of satellites” | 2023 | → | Cosmic web + statistics |

5. Galaxies at $z > 10$ discovered by JWST

→ As previously predicted by Λ CDM

Large scales

- Great precision of DESI BAO $\rightarrow \Lambda$ CDM ruled out at $(3.1 - 4.2)\sigma$; an evolving DE model (w_0w_a CDM) is favoured
- DESI BAO limit on ν masses: $\Sigma m_\nu < 0.195$ eV for w_0w_a CDM

Small scales

- Abundance of JWST galaxies at $z < 14 \rightarrow$ as predicted in CDM